

1 **Video Observation of Hand-Hygiene Compliance in a Manufacturer of**
2 **Ready-To-Eat Pie and Pastry Products**

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10 well as the design, development, implementation and evaluation of targeted interventions to reduce the
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18 and linking cognitive (knowledge, attitudes, risk perceptions and self-reported practices), behavioral
19 and microbiological data to assess/evaluate food safety risks. This also includes the development and
20 evaluation of highly focused food safety education/communication materials and interventions for
21 targeted 'at-risk audiences' and sectors in the food and drink industry. With more than 20 years of
22 research experience, I have undertaken research in a variety of fields relating to food safety/hygiene
23 and handling behaviors in range of settings including the domestic environment, hospitals, day
24 nursery's, food service environments, airlines and in the food industry.

25 **Video Observation of Hand-Hygiene Compliance in a Manufacturer of** 26 **Ready-To-Eat Pie and Pastry Products**

27 Food-handler hand-hygiene can be a contributory factor for foodborne illness.
28 Cognitive data (knowledge/attitudes/self-reported practices), while informative, are not
29 indicative of behavior, and are subject to biases. Consequently, observation of behavior
30 is superior to survey data. However, researcher presence in direct-observation increases
31 reactivity, whereas video-observation gives comprehensive analysis over a longer
32 period, furthermore, familiarity reduces reactivity. Although video-observation, has
33 been used to assess food safety at retail/foodservice, this valuable method is under-
34 utilized in food-manufacturing environments. For the study, footage (24h) was
35 reviewed to assess compliance in a food-manufacturing site with company protocol.
36 Video-observation of food-handlers entering production ($n=674$) were assessed, upon
37 70 occasions no attempt to implement hand-hygiene was observed. Of attempted hand-
38 hygiene practices ($n=604$), only 2% implemented compliant practices. Although 78% of
39 attempts utilized soap, only 42% included sanitizer. Duration ranged from 1–69s
40 (Median 17s). The study provides hand-hygiene data in an area that observational data
41 is seldom captured.

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43 Observation, behavior, food-handler, food industry, ready-to-eat, hand-hygiene,

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49 **Main introduction.**

50 The food-handler is often identified as one of the key causes of foodborne illness (Roberts
51 1990). Food-handlers can cause contamination of the food production environment through
52 the transmission of pathogens from hands to surfaces and food products due to poor hand-
53 hygiene practices (Lambrechts et al. 2014), consequently food-handler hand-hygiene has been
54 frequently cited as a significant contributory factor for foodborne illness in restaurant-
55 associated outbreaks (Gould et al. 2013). Indeed, adequate hand-hygiene is one of the most
56 effective ways to prevent the spread of pathogens (Health Protection Agency 2013), and will
57 assist efforts to reduce the risk of cross-contamination in the food-manufacturing
58 environment.

59 Food premises are required by law to provide adequate and suitably located and
60 designed facilities for hand-hygiene practices (European Parliament 2004). The British Retail
61 Consortium (BRC) Global Standard for Food Safety sets out the requirements for food
62 manufacturers to achieve certification against the standard. The framework for which, assists
63 manufacturers to meet product quality obligations and to comply with legislative
64 requirements for food safety to ensure product safety. The standard requires manufacturer's
65 personal hygiene standards to be developed to minimize the risk of product contamination
66 from personnel, and must be adopted by all personnel to the production facility (British Retail
67 Consortium 2015). The manufacturer is required to provide suitable and sufficient hand-
68 washing facilities for staff, at entry points, and at other appropriate points within production
69 areas. Such hand-wash facilities should provide sufficient quantity of water at a suitable
70 temperature, liquid soap, single use towels or suitably designed and located air driers, water
71 taps with hand-free operation along with advisory signs to prompt the implementation of
72 hand-washing (BRC Global Standard for Food Safety, Clause 4.8.6, Issue 7 (British Retail
73 Consortium 2015)).

74 UK hand-hygiene guidelines for best practice recommend that a safe hand-hygiene
75 procedure should include the wetting of hands using warm water (~40°C), before dispensing
76 3–5 ml liquid soap containing a biocide. Hands should be rubbed together vigorously for 15-
77 30 seconds, ensuring that all parts of the hands on both sides, up to the wrists, around thumbs,
78 fingers and nails are all rubbed. Hand should be rinsed with clean water and dried thoroughly,
79 followed by the application of a hand sanitizer (Taylor and Holah 2000; Taylor et al. 2000).

80 Food businesses are also required by law, to supervise, instruct and/or provide training
81 for food-handlers in aspects of food hygiene, such as hand-hygiene, to enable them to ensure
82 food safety in line with their job role (European Parliament 2004). As training can be reliant
83 upon knowledge acquisition and not application of behavior (Lelieveld et al. 2016), the food
84 safety knowledge of trained food-handlers does not always result in the implementation of
85 safe food behaviors (Brannon et al. 2009). Food-handlers may demonstrate awareness of food
86 safety but often fail to translate knowledge into safe practices (Rossi et al. 2016). It must be
87 considered that delivery of training and provision of suitable facilities alone does not
88 guarantee that staff will implement adequate hand-hygiene practices at all times.
89 Consequently, there is a need to adopt methods to assess hand-hygiene compliance in food-
90 manufacturing environments.

91 Smith (2009) suggested that only 55% of food-handlers report to follow a standardized
92 hand-hygiene technique. As discussed in consumer food safety research (Evans and Redmond
93 2014), although insightful, assessing cognitive measures of food safety such as knowledge
94 and self-reported practices have limitations and are subject to biases. Self-reported practices
95 can be subject to social desirability bias, whereby behaviors perceived to be favorable are
96 over reported and undesirable behaviors are underreported (Hebert et al. 1995; Barker et al.
97 2002; Dharod et al. 2007). Considerable discrepancies have been determined between self-
98 reported practices and actual behaviors (Clayton et al. 2002; Clayton et al. 2003; Redmond
99 and Griffith 2003). Collation of food-handler knowledge and attitudes regarding hand-

100 hygiene are informative, however such data are not indicative of actual behavior, therefore
101 there is a need to observe the behavior of food-handlers to evaluate hand-hygiene compliance
102 in the industry.

103 Its suggested that data relating to the hand-hygiene compliance rates in the food-
104 manufacturing industry are particularly limited (Taylor et al. 2000). As discussed by Taylor
105 and Holah, it is unclear what the compliance rates are in the food industry, as many published
106 works that focus upon hand-hygiene relate to the health care sector (Taylor and Holah 2000).
107 However in recent years, a number of research studies utilizing behavioral observation
108 methods have focused upon hand-hygiene compliance of professional food-handlers in retail
109 (Lubran et al. 2010) and foodservice settings (Worsfold and Griffith 2003; Clayton and
110 Griffith 2004; Green et al. 2006; Chapman et al. 2013; Rajagopal and Strohbehn 2013; Arendt
111 et al. 2015). In such establishments, hand-hygiene malpractices are reported to occur more
112 frequently than malpractices for cleaning or utensil separation during food-handling (Clayton
113 and Griffith 2004). Although observation of behavior has been utilized to determine the short
114 term impact of signage upon the hand hygiene practices of employees in a raw poultry
115 processing plant (Schroeder et al. 2016), there is a particular lack of data relating to the hand-
116 hygiene compliance of food-handlers in the food-manufacturing industry. Consequently, the
117 aim of this study was to address this lack of data, by utilizing a video-observation study to
118 evaluate food-handler hand-hygiene practices and compliance to company protocol in a
119 manufacturer of ready-to-eat (RTE) food products.

120 **Material and methods.**

121 *Sample and instrument development.*

122 A large UK based food-manufacturing business that produces chilled and frozen RTE pies,
123 pasties, and savory baked products for retail and food service outlets, was contacted regarding
124 involvement in the study. The company was selected due to the production process of its
125 products, which included the preparation of pastry, mincing and dicing of meat, preparation

126 and cooking of fillings, and the assembly, baking, chilling and packing of the product. The
127 researchers were aware that recording cameras were used throughout the production site, but
128 were not utilized to observe hand-hygiene practices. A briefing visit was conducted prior to
129 commencement of observation of hand-hygiene practices. The aims and objectives of the
130 project were discussed with the managing director, technical manager, QA and training
131 managers. The business gave consent for the researcher to access pre-recorded video footage
132 of the hand-hygiene facilities by completing a consent form.

133 *Development of a hand-hygiene observation checklist.*

134 The company hand-hygiene procedure required staff to implement handwashing with soap
135 and water, based upon the World Health Organization technique (World Health Organization
136 n.d.). The required steps to be implemented by staff prior to proceeding into the production
137 area, for a hand-hygiene attempt to be classed as ‘compliant’ included:

- 138 • Wet hands with water
- 139 • Apply enough soap to cover all surfaces of the hands
- 140 • Rub hands palm to palm, rub right palm over left dorsum with interlaced fingers and
141 vice versa, rub palm to palm with fingers interlaced, rub backs of fingers to opposing
142 palms with fingers interlocked, rotational rubbing of left thumb clasped in right palm
143 and vice versa, and rotational rubbing, backwards and forwards with clasped fingers of
144 right hand in left palm and vice versa
- 145 • Rinse hands with water
- 146 • Dry thoroughly with a single use paper towel
- 147 • Apply hand sanitizer

148 An observation checklist was developed based upon the hand-hygiene protocol of the
149 business using a Qualtrics (Qualtrics 2017, Provo, Utah, USA), database to allow for
150 electronic data entry using a cloud infrastructure. The electronic checklist was piloted using
6

151 footage from the business ($n=100$ observations) which resulted in amendments to the flow of
152 the checklist and the addition of variables to capture the implementation of behavioral
153 malpractices. The finalized checklist captured every occasion a staff member passed through
154 the hygiene hall (located between the staff changing facilities and food production area). The
155 checklist recorded if the staff were entering or exiting the food production area, if a hand-
156 hygiene attempt was implemented, the start time and end time of the attempt (to calculate
157 duration), information regarding adequacy of personal protective equipment (PPE), adequacy
158 and compliance of hand-hygiene attempt and observed malpractices. Gender and the job-role
159 of staff (food-handlers or hygiene/engineering) was identified through different uniform.

160 ***Observation of behavior.***

161 As discussed by Egan et al., reliable data from the workplace is essential to develop,
162 implement and evaluate the effectiveness of food hygiene training, however data obtained by
163 direct observation has limitations, such as altered behaviors in the presence of the observer
164 (reactivity bias) to present what is perceived to be a more desirable behavior, known as the
165 Hawthorne effect (Egan et al. 2007). In food industry-based research, the presence of others,
166 particularly managerial staff, is reported to improve the food safety practices of staff in food
167 environments (Egan et al. 2007).

168 The use of cameras to record food safety practices can give a more comprehensive
169 analysis over a longer period of time. Although those being observed may present behaviors
170 that are perceived to be more desirable behaviors, however such reactivity is reduced over
171 prolonged periods due to familiarity with camera equipment. Furthermore, such video
172 observation can determine baseline practices and compare to post-intervention practices to
173 give a true evaluation of effectiveness.

174 To minimize the Hawthorne Effect in the present study, food-handlers, hygiene and
175 engineering staff were not informed of the project as the researcher reviewed previously
176 recorded footage. The cameras in the hygiene hall of the business had been in location for

177 over 3 years; cameras were not unique to the hygiene hall and were located throughout the
178 business. Although staff were informed during pre-employment induction that cameras may
179 be used to monitor hygiene practices, they were more commonly used for security purposes.

180 ***Data collection, storage and analysis.***

181 Observation of footage from the hygiene hall were undertaken over a period of 24 hours, this
182 incorporated a specified day of the week that the business reported would have a high volume
183 of production. Observation commenced from 00:00:00 through to 23:59:59, the footage
184 viewing software allowed for periods of 'no activity' to be skipped. The footage could be
185 viewed at a regular and a reduced speed. Each member of staff that proceeded into the
186 hygiene hall either entering or exiting the food production area were observed and recorded
187 using the electronic checklist. The time staff members commenced hand-hygiene actions were
188 recorded, each element of the hand-hygiene protocol that was complied with was recorded.
189 End time was recorded to calculate hand wash duration. Inclusion of each required element
190 enabled determination of hand-hygiene attempts that were 'compliant' with the company
191 protocol. The electronic checklist created a database of all observations. Following
192 completion, the entire database of 1333 entries, was checked and assessed to ensure no
193 missing values. A 10% sample of the entries were randomly checked by the researcher to
194 ensure intra-operator reliability.

195 ***Ethical approval.***

196 Ethical approval for the study was granted by the Research and Ethics Committee of the
197 Cardiff School of Health Sciences at Cardiff Metropolitan University. Project reference
198 number: 8152.

199 **Results.**

200 In total, 1333 entries in to the hygiene hall were observed over a period of 24 hours, this

201 included 674 occurrences when staff entered the production area and 659 occurrences when
202 staff exited the production area.

203 ***Hand-hygiene practices when entering and exiting the production area.***

204 The company hand-hygiene procedure required staff to implement handwashing with soap
205 and water, with vigorous rubbing of hands and fingers based upon the World Health
206 Organization technique (World Health Organization n.d.), dry thoroughly with paper towel
207 (totaling 40 – 60 seconds) and applying hand sanitizer prior to entering the production area.
208 At the point of entry, on 70 occasions (10.4% of those entering), staff were observed failing to
209 attempt the implementation of a hand-hygiene attempt. A significant difference was
210 determined at point of exit, where by the majority (71.3%) made no attempt to implement
211 hand-hygiene practices when exiting than when entering (10.4%) the production area ($X^2(1, n$
212 $= 1333) = 499.57, p < 0.001, \phi = 0.614$). Of the 188 occasions that hand-hygiene attempts
213 occurred, 99.5% were not compliant; only one attempt was determined to be compliant with
214 protocol. Many of those leaving the production area determined the need for implementing
215 hand-hygiene practices by means of a visual inspection of hands up on exiting. All further
216 analysis focuses on hand-hygiene practices prior to entering the production area only.

217 ***Adequacy of hand-hygiene practices.***

218 Of the 604 attempts to implement hand-hygiene practices prior to entering production, only
219 2.2% (13 attempts) were determined to be compliant with the company protocol. Although
220 not compliant, 8.8% of attempts were 'adequate' (in-line with the recommended hand-
221 hygiene procedure outlined in guidelines for best practice (Taylor et al. 2000)). Consequently,
222 the majority (97.8%) of hand-hygiene attempts before entering production were not compliant
223 with the company protocol.

224 Despite 77.9% of attempts used soap to wash hands, only 45.3% of attempts wetted hands
225 with water prior to applying soap as described in the company protocol. Furthermore, analysis

226 of observed methods established that although employees were observed rubbing hands palm
227 to palm in 73.7% of attempts, there was a lack of hand rubbing practices in compliance with
228 the protocol. As indicated in Table 1, rubbing the backs of hands, between fingers and thumbs
229 were often neglected during hand-hygiene practices, observed in only 1.5 – 9.8% of attempts.

230 [Table 1 near here]

231 Less than half (41.6%) of attempts included the use of sanitizer. On 13 occasions, staff
232 were observed failing to implement component elements of hand-hygiene (handwashing and
233 drying) and used hand sanitizer only, prior to entering production.

234 *Duration of hand-hygiene practices.*

235 The duration of hand-hygiene practices (from wetting hands through to drying of hands), were
236 recorded. The company protocol calls for the duration of the entire procedure to take 40 – 60
237 seconds. Observed hand-hygiene duration ranged from 1 – 69 seconds. The average recorded
238 duration of observed hand-hygiene practices was 20 seconds. In total, the duration of only
239 6.3% of attempts were compliant with company protocol (Table 2).

240 [Table 2 near here]

241 *Comparison of hand-hygiene practices between staff.*

242 No significant difference ($p > 0.05$) in the duration of hand-hygiene practices was determined
243 according to gender (males: $Md = 18$ seconds, $n = 722$ and females: $Md = 18$ seconds, $n =$
244 50). However, a significant difference ($p < 0.05$) in the duration of hand-hygiene practices
245 according to staff roles was determined. When entering production, food-handlers
246 (identifiable in white overalls) were observed implementing statistically significant longer
247 durations of hand-hygiene practices ($Md = 19$ seconds, $n = 456$) than engineering and hygiene
248 staff (identifiable in blue overalls) ($Md = 15$ seconds, $n = 135$) ($U = 25066.5$, $z = -3.281$, p
249 < 0.001 , $r = 0.12$). Furthermore, as indicated in Table 3, it was determined that
250 engineering/hygiene staff were significantly less likely ($p < 0.05$) to implement hand-hygiene

251 practices detailed on the company protocol including wetting hands first, using soap, rubbing
252 hands palm to palm, and were more likely to fail to implement any hand-hygiene practices.

253 [Table 3 near here]

254 ***Use of personal protective equipment (PPE).***

255 The company protocol required staff to put on hairnets and snoods prior to putting on overalls
256 and proceeding to the hygiene hall prior to entering the production area. The company
257 personal hygiene rules required “*hairnets to be worn correctly to provide maximum possible*
258 *coverage of head hair, all hair must be contained in hairnets and snoods must be worn over*
259 *the nose to completely cover facial hair, beards and moustaches*”. On 1.2% of occasions
260 entering production, staff were observed failing to implement adequate use of PPE. Hairnets
261 were worn inadequately on three occasions, snoods were also worn inadequately on three
262 occasions and on two occasions snoods were not worn by those requiring snoods. Hygiene
263 malpractices observed prior to entering production included readjusting hairnets/snoods and
264 touching hair or face after implementing hand-hygiene practices (9.3%), and putting a snood
265 on after hand-hygiene attempt (8.9%). Such practices may have occurred due to the practical
266 positioning of the snood dispenser being located next to the door to enter production, as
267 opposed to in the changing facilities. There is a need to ensure that PPE is put on in the
268 correct order, this could be overcome by relocation of the snood dispenser. Although hair may
269 not be a significant risk to the microbial safety of the food products, inadequately covered hair
270 (resulting from failure to use or put on hairnets/snoods in-line with the correct changing
271 procedure) can result in the physical contamination of food, thus resulting in food products of
272 a substandard quality. Workforce flow through the hygiene hall in to the production area
273 should encourage positive hygiene behaviors

274 ***Hygienic design of hand-hygiene facilities.***

275 The hand-hygiene facilities were located in the hygiene hall, positioned between the staff

276 changing facilities and the food production area. The hand-hygiene facilities contained two
277 long handwashing troughs located on two parallel walls, each with 10 knee-operated water
278 outlets. Each trough was identically equipped with two soap dispensers, two hand sanitizer
279 dispensers, and two paper towel dispensers that were located above each handwashing trough
280 as illustrated in Figure 1, the snood dispenser was located next to the door that entered into
281 the food production area.

282 [Figure 1 near here]

283 Some of the behavioral malpractices observed may be a result of the design of the
284 hygiene hall. Given that only two soap dispensers are provided for ten water outlets, on a few
285 occasions employees at the three water dispensers on the right hand side of the trough on the
286 right, were observed gesturing to reach for soap, however failing to do so as a soap dispenser
287 was not conveniently located. On one occasion, a food-handler was observed attempting to
288 apply soap, however the towel dispenser and sanitizer were closest, the employee looked for
289 soap dispenser, looked around, but just dried hands following rinsing under water. Location is
290 critical to assist in the implementation of hand-hygiene practices, the majority of those seen
291 using sanitizer were observed using the dispenser located closest to the door entering
292 production. However, there is a need to explore if the presence of others influence the use of
293 hand sanitizer following a hand-hygiene attempt. Indeed, healthcare research has determined
294 that the presence of other workers is associated with higher hand-hygiene adherence rates
295 (Monsalve et al. 2014).

296 During production, the four paper towel dispensers became empty, consequently staff
297 were observed implementing hand-drying malpractices during the 58 minutes before the
298 paper towel supply was replenished. Observed malpractices including; drying hands on PPE
299 and entering production without drying hands. Lots of communication and frustration was
300 observed staff in the hygiene hall regarding the lack of paper towels, however no employees
301 were observed replenishing paper towel supply, which remained empty until a hygiene

302 operative checked the dispensers as part of their routine cleaning checks. The provision of
303 suitable and sufficient hand-washing facilities and equipment is likely to impact upon hand-
304 hygiene practices, the absence of such materials is a barrier to adequate practices compliant
305 with the company protocol.

306 The design of the bin (side-entry bin) intended for disposal of used paper towel post
307 hand-hygiene, may increase the likelihood of contact, hand contact with the bin was observed
308 on five occasions following hand-hygiene practices, an open top, or foot-operated bin may
309 reduce the likelihood of hand contact. Contact with the bin following hand-hygiene practices
310 may result in the re-contamination of hands. Many employees were observed blowing noses
311 in the paper towel used to dry hands after implementing handwashing, with no further hand-
312 hygiene practice implemented following nose blowing prior to entering the food production
313 area.

314 *Cleaning of hand-hygiene facilities.*

315 On various occasions during the 24-hour observation period, hygiene operatives
316 cleaned the hygiene hall. The cleaning undertaken by each hygiene operative took a different
317 approach. Observed cleaning practices observed in the hygiene hall were not compliant with
318 the company 'instruction card for cleaning hand-hygiene facilities'. The numbered method
319 was not followed in the order specified by the company, which starts with checking and
320 replenishing supplies prior to washing and drying of all dispensers paying particular attention
321 to the areas that personnel touch to operate. General observations included that contact time
322 for use of sanitizer spray was not adhered to, and although all paper, soap and sanitizer
323 dispenser units were wiped, the specific hand contact areas of such dispensers were not
324 cleaned. Cloths were used to wipe the bin prior to wiping the handwashing trough and water
325 outlets. Observations suggest that the cleaning of the hygiene hall is not maximizing the
326 potential for hand-hygiene.

327 **Discussion.**

328 Although a vast body of research exists in relation to food-handler food safety, a lack of
329 research conducted in food-manufacturing environments is evident, with the majority of work
330 focus upon retail and foodservice settings. Additionally, the majority of research has
331 incorporated the measures of food safety knowledge and self-reported practices; with a lack of
332 observational data. A narrative review of twenty food-safety research studies of professional
333 food-handlers, established the majority of studies (70%) were from foodservice and retail
334 establishments; fewer studies were conducted in manufacturing and processing environments
335 (10%). Survey methods of data collection were widely applied, including self-completed
336 questionnaires (80%) and interviews (35%) indicating that observation of behavior was less
337 frequently used (Evans and Evatt 2018). With such findings suggesting a lack of food
338 industry focused observational data there is a need for an in-depth review of food-handler
339 food safety studies to consolidate the data conducted in food production environments and to
340 facilitate a comparison of differences between food-handlers in different food environments
341 and between utilized data collection methods and measures.

342 Smigic et al. (2016) suggested that food safety knowledge is significantly better
343 among food-handlers in food-manufacturing environments than those at retail outlets.
344 However, despite evident knowledge and positive attitudes, the self-reported food safety
345 practices of food-handlers in food-manufacturing environments, such as in meat processing
346 plants, are reported to be not acceptable (Ansari-Lari et al. 2010). However, given that self-
347 reported food safety practices, knowledge and attitudes do not concur with food-handling
348 behaviors, there is a need for observed behavioral studies (Ansari-Lari et al. 2010).

349 ***Observed hand-hygiene practices.***

350 More frequent hand-hygiene attempts were observed prior to entering production, compared
351 to exiting production, suggests an awareness of the need for hand-hygiene practices and
352 illustrates employees attempt to comply with company protocol. Although 89.6% of those
353 entering production were observed attempting to implement a hand-hygiene practice prior to

354 entering production, the vast majority of attempts (97.8%) were not compliant with company
355 protocol. Observation of foodservice employees has determined hand-hygiene compliance of
356 47 – 75% when employees were starting their shift or returning to the work area (York et al.
357 2009).

358 Previous research involving observation of food-handlers in foodservice
359 establishments determined that 8-12% of hand-hygiene attempts failed to use soap (of 1,096
360 hand-hygiene attempts, 87 failures to use soap when soap was present, 44 occasions when no
361 soap was present) (Clayton and Griffith 2004). Similarly, research conducted with grocery
362 store food-handlers determined that 15% of attempts did not use soap (Robertson et al. 2013).
363 Although industry based behavioral research has observed <92% of employees using soap
364 (Schroeder et al. 2016), in this present study, 22.1% of attempts prior to entering production
365 failed to use soap. Failure to use soap to implement hand-hygiene practices can have potential
366 implications for food safety as handwashing with soap and water is more effective for the
367 removal of bacteria from hands than with water alone (Burton et al. 2011).

368 The time taken to wash hands and the degree of friction generated during lathering are
369 more important than water temperature for removing soil and microorganisms (Todd et al.
370 2010). Previous research has determined that 29% of handwashing attempts by grocery store
371 food-handlers did not meet the recommended time (Robertson et al. 2013). Whereas only
372 44% of food service employees' have been observed vigorously scrubbing hands for at least
373 20 seconds (York et al. 2009), however, in this current study, 93.7% of attempts were not
374 compliant with the duration specified on the company protocol (40-60 seconds) and attempts
375 frequently failed to include rubbing the back of hands, between fingers and around thumbs.
376 An assessment of hygiene practices of food-handlers in retail establishments established that
377 food-handlers who washed their hands for less than ten seconds had higher counts of aerobic
378 mesophiles and staphylococci than those who washed for >10 seconds (Fawzi et al. 2009).

379 Drying of hands is a vital part of hand-hygiene, as hands that remain damp are able to
380 transfer microorganisms (which may remain following an inadequate hand-hygiene attempt)
381 to food and food contact surfaces (Taylor et al. 2000). In previous research with food-handlers
382 in food service establishments, the lack of proper hand drying with a paper towel contributed
383 to 93% of observed incorrect hand-hygiene events (Chapman et al. 2010). Although 83.4% of
384 attempts by employees in this study implemented drying using single use paper towel, hand-
385 drying malpractices were observed, whereby, hands were not dried before entering production
386 or were dried on PPE. Such malpractices can have implications for food safety.

387 When combined with handwashing, the use of sanitizer significantly enhances the
388 hygiene process (Michaels et al. 2003). In this study 58.4% of attempts by employees failed to
389 include the use of sanitizer, despite the company protocol requiring employees to apply hand
390 sanitizer prior to proceeding into the production area. Currently, there is a lack of data
391 detailing the awareness, attitudes, self-reported use or observed utilization of hand sanitizer
392 among food-handlers in food-manufacturing research to allow comparison. It is widely
393 accepted that there is a need to maximize hand-hygiene practices by utilizing hand sanitizer
394 after handwashing and drying to ensure food safety, further research regarding food-handler
395 cognition and behavior relating to sanitizer use is needed.

396 *Differences between staff.*

397 The significant differences between the observed hand-hygiene practices of food production
398 staff and hygiene/engineering operatives are of concern. The UK Food Standards Agency
399 define the term “food-handler” to include anyone who may touch food contact surfaces or
400 other surfaces in rooms where open food is handled (Food Standards Agency 2009). This is
401 because they can also contaminate food by spreading bacteria to surfaces that food will come
402 into contact with, and should therefore include cleaners and maintenance staff (Food
403 Standards Agency 2009). Although the company in this study provided the same food safety
404 training to all staff members, findings suggest a need for targeted hand-hygiene

405 education/training as food safety subcultures may exist within the company. Manning (2017)
406 propose that four food safety subcultures exist within food-manufacturing environments,
407 which include; executive, operations, engineering, and technical/quality. However, to develop
408 bespoke training (created for a specific user or purpose), for different teams of employees
409 based on job responsibility and priorities, there is a need to explore any cultural and
410 attitudinal differences that may exist between food production staff and hygiene/engineering
411 staff. Understanding the interaction of these subcultures is critical to prevent a potential food
412 safety incident (Manning 2017). No significant difference ($p>0.05$) in the hand-hygiene
413 practices of staff were determined according to gender in this study.

414 *Hand-hygiene facilities.*

415 The BRC standard requires cleaning systems to be in place to ensure appropriate standards of
416 hygiene are maintained at all times to reduce the risk of product contamination (British Retail
417 Consortium 2015). The cleaning undertaken in the hygiene hall by hygiene operatives in this
418 study was not compliant with company protocol. There is a need to ensure adequate cleaning
419 of hand-hygiene facilities, particularly as handwashing sinks can be sources of pathogenic
420 bacteria (Fawzi et al. 2009), indeed, greater sink usage is associated with higher levels of
421 bacterial contamination of the sink (Cloutman-Green et al. 2014). Contamination of hand
422 contact surfaces, such as hand-hygiene equipment, can be a reservoir for contamination,
423 which could result in the contamination of hands during or after hand-hygiene practices
424 (Griffith et al. 2003).

425 The hygienic design of food processing facilities is central to the manufacture of safe
426 products (Holah and Lelieveld 2011). There is much activity in relation to the hygienic design
427 of food production environments and the impact on food safety among international special
428 interest groups such as the European Hygienic Engineering & Design Group (EHEDG), 3A
429 Sanitary Standards Inc. and the National Sanitation Foundation (NSF) International (Schmidt
430 2012). Although much of this interest relates to engineering and design of equipment

431 manufacture and contact materials, there is a need to consider the potential impact of the
432 physical workplace environment, such as the hand-hygiene facilities, can have an impact upon
433 employee behavior (Lelieveld et al. 2016). Failure to provide appropriate facilities may result
434 in employees perceiving barriers towards the implementation of adequate hand-hygiene
435 practices (Lelieveld et al. 2016). Findings from this study suggest that the layout of the
436 hygiene hall may have been a contributory factor to the observed hand-hygiene malpractices.
437 Observed behaviors potentially influenced by layout of hygiene hall included putting on a
438 snood after hand-hygiene practice due to the location of the snood dispenser and failure to use
439 soap as proximity of the soap dispenser was not within arm's reach of the water outlet.
440 Healthcare research indicates the important role of sink location in hand-hygiene compliance
441 (Cloutman-Green et al. 2014; Zellmer et al. 2015), thus there is a need to explore the impact
442 upon hygiene facility layout upon hand-hygiene practices in a food-manufacturing
443 environment and the potential implications for food safety.
444 There is a need to explore potential methods to improve hand-hygiene compliance within the
445 business, such as investment in technology that prevent food-handlers accessing production
446 without using hand-hygiene equipment. However, staff may continue attempting to 'cut-
447 corners'. Investing in effective training interventions and efforts to improve the food safety
448 culture of the business, and enable suitable assessment methods to continuously evaluate and
449 monitor hand-hygiene compliance, may be of greater benefit than investing in technology
450 alone. Investment in advanced hand-hygiene equipment alone may not ensure that employees
451 will wash hands adequately. Food safety practices will only be implemented given adequate
452 resources and an appropriate food safety culture (Clayton et al., 2002). The involvement and
453 engagement of stakeholders in the development of a Theory of Change for handwashing is
454 said to be critical for understanding promotional programmes to enable behavior change (De
455 Buck et al. 2018).

456 Bespoke training needs to ensure different teams within the business have a clear
457 understanding of the potential risk of their implementation of inadequate hand-hygiene
458 practices and to realize their individual responsibilities for ensuring food safety. There is a
459 need to conduct subcultural research to identify any potential differences in the perceptions of
460 risk, control and responsibility and hygiene consciousness between food-handlers and
461 engineering/hygiene employees.

462 ***Limitations.***

463 Potential limitations of the study include that data presented may not be indicative of the
464 entire food production industry, however this study gives a novel snapshot of one company at
465 a specific point in time that identifies and highlights the need for training. Although the study
466 gives insight to the hand-hygiene practices of food-handlers, hygiene and engineering staff in
467 a food-manufacturing environment prior to entering production, data relating specifically to
468 hand-hygiene practices during production are not captured. Monitoring operatives washing
469 hands after they have become potentially contaminated during production is less easy (Taylor
470 et al. 2000), consequently there is a need for research detailing the occasions at which hand-
471 hygiene practices are implemented during production and exploring the motivations and
472 barriers to do so.

473 ***Conclusions.***

474 Cumulatively, this study has facilitated an in-depth observational assessment of hand-hygiene
475 practices at a UK manufacturer of RTE cooked meat products. Although the manufacturer had
476 cameras recording activity at hand-hygiene facilities, the manufacturer did not have the
477 resource/time to conducted frequent, structured observation of footage to assess hand-hygiene
478 practices. Utilizing the prerecorded footage from the company may have reduced potential
479 reactivity bias in this type of research.

480 The study provides data of current hand-hygiene practices and identification of site-
481 specific issues to inform the development of an intervention to improve hand-hygiene
482 practices. Duration of observed hand-hygiene practices did not meet the duration specified in
483 the company protocol, vigorous rubbing of hands and fingers was seldom observed and
484 failure to utilize sanitizer was widespread. Consequently, only 2% of observed hand-hygiene
485 attempts prior to entering production were compliant with protocol.

486 Completion of this study has identified the need for further research to explore potential
487 barriers that exist for staff to adequately implement hand-hygiene practices, including:

- 488 • Determination of production staff (food-handlers, hygiene and engineers) cognition in
489 relation to hand-hygiene, including knowledge, attitudes, self-reported practices,
490 perceptions of risk, control, responsibility and hygiene consciousness, and future
491 training/educational preferences.
- 492 • Further exploration into organizational sub-cultures regarding the potential disconnect
493 between the responsibility for food safety among engineering and hygiene staff.
- 494 • Compare cognitive and behavioral data to determine discrepancies in awareness and
495 actual behavior.
- 496 • Explore the potential cognitive differences in the perceived need for hand-hygiene
497 practices at point of exit compared to entry.
- 498 • Although the purpose of the study was to observed the hand washing practices of staff
499 as they enter the production environment, which is a requirement for all staff. Further
500 observational research to identify the factors during production that influence hand-
501 hygiene practices is required.

502 Additionally, there is a need to consolidate data relating to food-manufacturing and
503 processing environments. A greater volume of research has been conducted in food retail and
504 hospitality settings. Given the volume of products produced and the national distribution

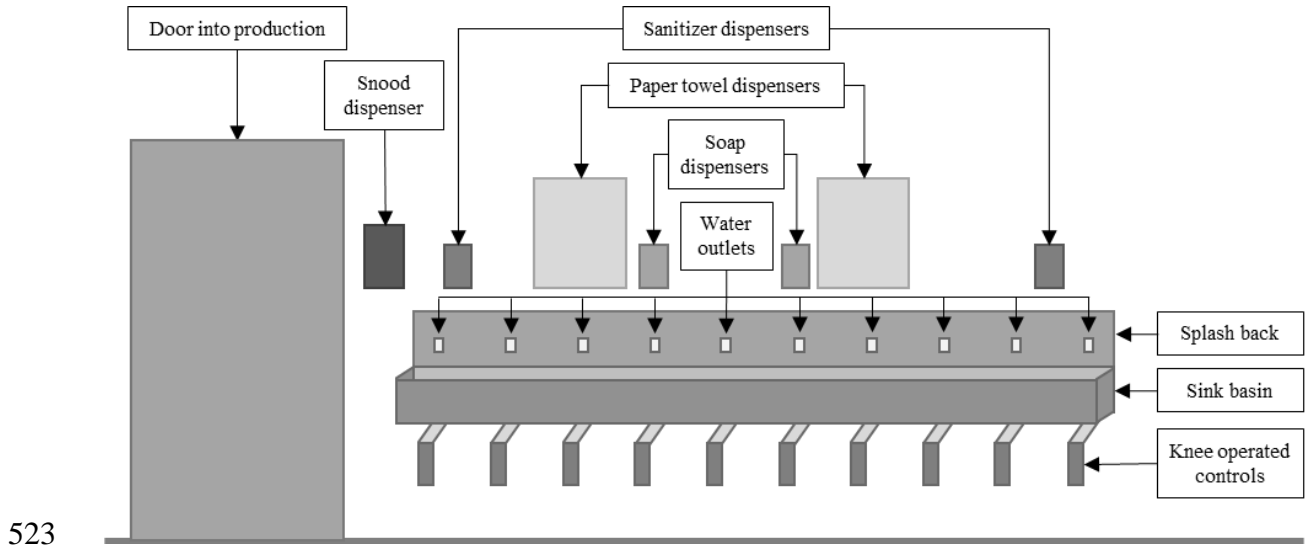
505 chain, the potential impact of hand-hygiene malpractices in food-manufacturing and
506 processing environments on consumer food safety may be more far-reaching than in a
507 restaurants. Consequently, there is an identified need for an in-depth comprehensive review of
508 food-handler food safety research studies conducted in food-manufacturing and processing
509 environments to establish the most commonly used data collection methods and measures and
510 review the food safety training interventions utilized in food-manufacturing and processing
511 environments. Such findings may be used to inform the development of bespoke, targeted
512 hand-hygiene education/training programs in food production environments. The company
513 have expressed an interest in the development of an intervention to improve hand-hygiene
514 practices in the company. Baseline data collected in this study can be utilized to evaluate the
515 effectiveness of training/education programs delivered to food-handling staff in an
516 experimental study.

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520 conduct the observation study.

521 **Figures**

522 Figure 1. Layout of hand-hygiene facilities in the hygiene hall



524 **Tables**525 Table 1 Observed hand-hygiene practices of employees prior to entering production ($n=604$)

Observed practices	<i>n</i>	%
<i>Hand-hygiene practices</i>		
Wet hands with water first	305	50.5
Apply soap	525	86.9
Rubbing hands palm to palm	445	73.7
Palm over dorsum and interlaced fingers	20	3.3
Palm to palm with interlaced fingers	59	9.8
Backs of fingers to opposing palms with fingers interlocked	9	1.5
Rotational rubbing of thumb clasped in palm	25	4.1
Rotational rubbing of palm with clasped fingers	12	2.0
Vigorous and various rubbing actions when lathering likely to be adequate due to restricted view	45	7.5
Rinse hand with water	573	94.9
Dry thoroughly with a single use towel	504	83.4
Duration of the entire procedure took 40 – 60 seconds	37	6.1
Use of hand sanitizer	251	41.6
<i>Malpractices</i>		
Touched face/hair	56	9.3
Touched bin	4	0.7
Put snood on after hand wash attempt	54	8.9
Hands dried on PPE	22	3.6
Hands not dried	8	1.3
No attempts to wash used sanitizer only	13	2.2
<i>Compliance</i>		
Attempts compliant with procedure	13	2.2
Adequate attempts	53	8.8

526

527

528 Table 2 Grouped duration of observed hand-hygiene practices (from wetting hands through to
529 drying of hands) of employees before entering production ($n=591$)

Grouped duration	<i>n</i>	%
≤5 seconds	13	2.2
6 - 10 seconds	84	14.2
11 - 20 seconds	262	44.3
21 - 30 seconds	137	23.2
31 - 40 seconds	63	10.7
41 - 50 seconds	24	4.1
51 - 60 seconds	3	0.5
>60 seconds	4	0.7

530

531 Table 3 Significant differences in observed hand-hygiene practices of food-handling staff
 532 ($n=503$) and hygiene/engineering staff ($n=171$)

Hand-hygiene practices	Food-handlers (%)	Hygiene / engineering (%)	Statistical analysis
No attempt to implement hand-hygiene protocol	9.1	19.3	$X^2 (1, n = 674) = 11.75, p < 0.001, \text{phi} = 0.137$
Wet hands with water first	50.5	29.8	$X^2 (1, n = 674) = 21.19, p < 0.001, \text{phi} = -0.181$
Apply soap	80.5	70.2	$X^2 (1, n = 674) = 7.34, p < 0.05, \text{phi} = -0.108$
Rubbing hands palm to palm	68.4	59.1	$X^2 (1, n = 674) = 4.54, p < 0.05, \text{phi} = -0.086$
Palm over dorsum and interlaced fingers	3.6	1.2	$p > 0.05$
Palm to palm with interlaced fingers	8.9	8.2	$p > 0.05$
Backs of fingers to opposing palms with fingers interlocked	1.8	0.0	$p > 0.05$
Rotational rubbing of thumb clasped in palm	4.6	1.2	$p > 0.05$
Rotational rubbing of palm with clasped fingers	2.4	0.0	$p > 0.05$
Restricted view - vigorous and various lathering actions likely to be adequate	8.0	2.9	$X^2 (1, n = 674) = 4.40, p < 0.05, \text{phi} = -0.088$
Rinse hand with water	87.5	77.8	$X^2 (1, n = 674) = 8.67, p < 0.005, \text{phi} = -0.118$
Dry thoroughly with a single use towel	75.5	72.5	$p > 0.05$
Duration of the entire procedure took 40 – 60 seconds	6.4	2.9	$p > 0.05$
Use of hand sanitizer	36.8	38.6	$p > 0.05$
Adequate attempts	9.3	3.5	$X^2 (3, n = 674) = 17.92, p < 0.001, \text{Cramer's } V = 0.163$
Attempts compliant with protocol	2.6	0.7	$p > 0.05$

533

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