

1 **Left ventricular twist is augmented in hypoxia by β_1 -adrenergic-dependent and –**
2 **independent factors, without evidence of endocardial dysfunction**

3 Short title: Hypoxic modulation of ventricular twist mechanics

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24

25 **Abstract**

26 **Background.** Left ventricular (LV) twist mechanics are augmented with both acute and
27 chronic hypoxemia. Although the underlying mechanisms remain unknown, sympathetic
28 activation and/or a direct effect of hypoxemia on the myocardium have been proposed, the
29 latter of which may produce subendocardial dysfunction that is masked by larger
30 subepicardial torque. This study therefore sought to i) determine the individual and
31 combined influences of β_1 -adrenergic receptor (β_1 -AR) stimulation and peripheral O₂
32 saturation (SpO₂) on LV twist in acute and chronic hypoxia, and ii) elucidate whether
33 endocardial versus epicardial mechanics respond differently to hypoxia. **Methods.** Twelve
34 males (27±4yr) were tested near sea level (SL) in acute hypoxia (SpO₂=83±3%) and
35 following 3-6 days at 5050m (HA; SpO₂=83±3%). In both settings, participants received
36 infusions of β_1 -AR blocker esmolol and volume-matched saline (double-blind,
37 randomized). LV mechanics were assessed with 2-dimensional speckle-tracking
38 echocardiography, and region-specific analysis to compare subendocardial and
39 subepicardial mechanics. **Results.** At SL, compared to baseline (14.8±3.0°) LV twist was
40 reduced with esmolol (11.2±3.3°; p=0.007) and augmented during hypoxia (19.6±4.9°;
41 p<0.001), while esmolol+hypoxia augmented twist compared to esmolol alone (16.5±3.3°;
42 p<0.001). At 5050m, LV twist was increased compared to SL (19.5±5.4°; p=0.004), and
43 reduced with esmolol (13.0±3.8°; p<0.001) and SpO₂ normalization (12.8±3.4°; p<0.001).
44 Moreover, esmolol+normalized SpO₂ lowered twist further than esmolol alone (10.5±3.1°;
45 p=0.036). There was no mechanics-derived evidence of endocardial dysfunction with
46 hypoxia at SL or HA. **Conclusions.** These findings suggest LV twist is augmented in
47 hypoxia via β_1 -AR-dependent and -independent mechanisms (e.g. α_1 -AR stimulation), but
48 does not appear to reflect endocardial dysfunction.

50 **Clinical Perspective**

51 Acute and chronic hypoxemia are prevalent in a myriad of clinical pathologies and cause
52 significant physiological stress. The required response involves a complex coordination of
53 cardiovascular adjustments to ensure adequate whole-body oxygen delivery, including to
54 the myocardium itself. Left ventricular (LV) twist mechanically supports the efficient
55 generation of stroke volume and cardiac output, and becomes elevated with acute and
56 chronic hypoxic exposure. Increased twist in hypoxia has been proposed to reflect
57 subendocardial dysfunction that is masked by a predominating greater torque or moment
58 arm of the subepicardium. The potential for endocardial dysfunction is supported by *ex*
59 *vivo* data where (often extreme) localized hypoxia impairs myocardial fiber contractility;
60 however, human studies consistently report augmented LV systolic function in hypoxia. In
61 the current study, advanced speckle-tracking analyses of LV mechanical data suggest that
62 there is no divergence in endocardial versus epicardial responses to acute and chronic
63 hypoxia. Our findings suggest that LV twist is augmented in hypoxia largely via β_1 -
64 adrenergic stimulation. Elevated LV twist therefore appears to represent an adaptive rather
65 than maladaptive response to lowered oxygen availability, and the intensity of hypoxia
66 experienced near 5000m above sea level is not likely extreme enough to significantly
67 impair myocardial function.

68

69 **Introduction**

70 Left ventricular (LV) twist mechanics are anatomically underpinned by the
71 opposing helical orientations of subendocardial and subepicardial myofibers¹. Myocardial
72 mechanics are highly responsive to physiological and environmental stressors such as
73 hypoxia, which augments LV twist with both acute (i.e. reduced fraction of inspired
74 oxygen, FiO_2) and chronic (i.e. high altitude) exposure²⁻⁴. At the myofiber level, extremely
75 low O_2 availability reduces contractile function in *ex vivo* cardiac muscle preparations⁵,
76 and this ‘depressed’ myocardial contractility would be expected to result in lowered LV
77 twist in low-oxygen environments. Though LV twist mechanics are impaired in animal
78 models of myocardial ischemia⁶, the majority of studies examining humans at altitude
79 report increased indices of LV systolic function⁷⁻¹⁰ and augmented twist mechanics^{2-4,11,12}.
80 It therefore remains unclear whether hypoxia leads to improved versus impaired ventricular
81 contractile function, and the mechanism(s) contributing to elevated LV mechanics in
82 hypoxia have not been experimentally determined.

83 The key mechanisms that have been proposed to contribute to increased LV twist
84 in hypoxia include: (i) a sympathetic-dependent increase in adrenergic stimulation and
85 contractility via the peripheral chemoreceptors¹³; (ii) a sympathetic-independent
86 mechanism (e.g. vagal withdrawal)^{11,14}; and/or (iii) altered contributions of endocardial
87 versus epicardial torque³. The first two mechanisms suggest that increased LV twist is
88 compensatory in nature^{15,16}, augmenting cardiac output in acute hypoxia and defending
89 stroke volume in chronic hypoxia where LV filling pressure is reduced. The third
90 mechanism poses that the subendocardium, with a lower oxygen supply-to-demand than
91 the subepicardium, has impaired function in hypoxia that is masked by a greater rotational
92 moment arm and torque of the subepicardium³. Yet, this remains speculative as there are

93 limited experimental data to support the hypothesis of hypoxia-induced endocardial
94 dysfunction. Therefore, the aims of the current study were twofold. First, to experimentally
95 elucidate the independent and combined influences of cardiac-specific β_1 -adrenergic
96 receptor (β_1 -AR) stimulation and altered peripheral O_2 saturation (SpO_2) on LV twist, we
97 manipulated β_1 -AR stimulation and SpO_2 in two sequential experiments: in acute hypoxia
98 at sea level (SL; 344m, Kelowna) and chronic hypoxia at high altitude (HA; 5050m,
99 Nepal). Utilizing the β_1 -AR blocker esmolol and FiO_2 manipulations, it was hypothesized
100 that alterations to both SpO_2 and β_1 -AR would independently influence LV twist in acute
101 (SL) and chronic (HA) hypoxia. Second, to evaluate whether ‘masked endocardial
102 dysfunction’ occurs in hypoxia, we utilized advanced speckle tracking analysis to compare
103 endocardial versus epicardial mechanics, and assess reverse twist in early systole
104 (representative of early endocardial fibre shortening)¹ in acute and chronic hypoxia. It was
105 hypothesized that endocardial mechanics would not be impaired in acute or chronic
106 hypoxia compared to with normal SpO_2 .

107

108 **Methods**

109

110 **Study participants and ethical approval**

111 Twelve young, healthy males (age 27 ± 4 yrs, body mass index 23 ± 2 $kg \cdot m^{-2}$) consented to
112 participate in the study. Participants had no history of cardiovascular, respiratory, or
113 musculoskeletal disease, were not current smokers and did not utilize any cardiorespiratory
114 medications throughout the study, including acetazolamide. The study was approved by
115 The University of British Columbia Clinical Research Ethics Board (H16-01028) and
116 conformed to the Declaration of Helsinki, apart from being registered as a trial. Data

117 supporting the findings of this study will be made available from the corresponding author
118 upon reasonable request.

119

120 **Study design**

121 Participants visited the laboratory on two occasions, visit 1 near SL (344m,
122 Kelowna, Canada) and visit 2 at HA (5050m, Ev-K2-CNR Pyramid Laboratory, Khumbu
123 Valley, Nepal). For all participants, visit 1 at SL was completed between September 5th and
124 9th, 2016, and visit 2 at HA was completed within 3-6 days following arrival to the Ev-K2-
125 CNR Laboratory, a cumulative 12-16 days' exposure to $\geq 3000\text{m}$. Individuals were
126 instructed to refrain from caffeine, exercise and alcohol for $\geq 24\text{hr}$ prior to both visits.

127 A double-blind, randomized crossover design was utilized for experiments at SL
128 and HA, where participants received intravenous infusions of β_1 -AR antagonist esmolol
129 (Brevibloc, Baxter Healthcare Corporation) or placebo (volume-matched saline). During
130 both visits, an 18-20-gauge catheter was inserted into a forearm vein, and infusions of
131 esmolol or volume-matched saline began after ≥ 10 minutes quiet rest (ALARISTM PC
132 Pump 8100, CareFusion, San Diego, USA). Esmolol was infused with an initial bolus of
133 $500 \mu\text{g}/\text{kg}$ in the first minute, and continuously at $150 \mu\text{g}/\text{kg}/\text{min}$ thereafter¹⁷. This dosing
134 regime was well tolerated by all participants without adverse effects at both altitudes.
135 Participants were instrumented a finger pulse oximeter (7500FO, Nonin Medical Inc.,
136 Plymouth, MN, USA) to monitor SpO_2 , and blood pressure was assessed with automated
137 sphygmomanometer (HEM-775CAN, Omron Healthcare, USA).

138 During visit 1 at SL, participants first breathed room air and baseline
139 echocardiographic images were collected 5 minutes following the initial bolus infusion
140 (esmolol or placebo). Participants were then connected to a dynamic end-tidal forcing

141 system for acute poikilocapnic hypoxia, with the partial pressure of end-tidal O₂ controlled
142 at 45 mmHg¹⁸. Echocardiographic images were collected 10 minutes following onset of
143 hypoxia, after which infusion ended and participants returned to breathing room air.
144 Participants rested ≥ 45 minutes (>5 half-lives of esmolol¹⁹) before the protocol was
145 repeated for the opposite condition (i.e. esmolol or placebo). During visit 2 at HA, infusions
146 of esmolol and placebo were performed identically to visit 1. Baseline echocardiographic
147 images were collected while participants breathed room air. Participants were then fitted
148 with an open circuit, non-rebreather face mask, and low flow 100% O₂ was titrated to
149 normalize SpO₂ to SL ranges (i.e. $\geq 97\%$). Images were collected 10 minutes after
150 normalized SpO₂ was achieved, after which participants returned to breathing room air.
151 Participants rested ≥ 45 minutes before repeating the protocol for the opposite condition.
152 None of the participants showed symptoms of acute mountain sickness as assessed by the
153 Lake Louise Questionnaire during visit 2.

154

155 **Specific methodology**

156 *Transthoracic echocardiography.* A single experienced sonographer performed all
157 imaging for the study using a commercially available ultrasound system (Vivid E9 at 344m,
158 Vivid q at 5050m, GE Healthcare, Fairfield, CT, USA) and 1.5-4.6 MHz transducers (M5S
159 and 4V probes). Two-dimensional and pulsed Doppler recordings were acquired at end-
160 expiration with participants in a left-lateral position for assessments of LV structure and
161 function in accordance with current guidelines²⁰. LV volumetric and structural indices
162 including end-diastolic volume (EDV), end-systolic volume (ESV), stroke volume (SV),
163 ejection fraction (EF), posterior (PWT) and septal wall thicknesses (SWT), LV end-
164 diastolic internal diameter (LVID_d), and end-diastolic length (length_d) were performed as

165 outlined previously²¹. Parasternal long-axis images were analyzed during systole for PWT_s,
166 SWT_s and LVID_s for estimation of end-systolic wall stress (see *analysis of hemodynamics*).
167 LV sphericity index was calculated as $\text{length}_d/\text{LVID}_d$, and relative wall thickness as
168 $2 \cdot \text{PWT}/\text{LVID}_d$. All echo-derived data were averaged over three cardiac cycles.

169 *Speckle-tracking analysis.* A single experienced sonographer performed all
170 analyses and was blinded to experimental condition (i.e. esmolol vs placebo) for SL and
171 HA data. Images for speckle-tracking analysis were acquired at 70-90 frames/sec.
172 Measurements of LV rotation, circumferential strain (CS) and longitudinal strain (LS) were
173 performed for the entire myocardial region of interest as previously described²¹.
174 Additionally, strain measures were assessed separately for the endocardial and epicardial
175 regions (EchoPAC v.113, GE, Fairfield CT, USA). Images with inadequate tracking in ≥ 2
176 myocardial segments were excluded from analysis. For twist data, tracking was inadequate
177 at the apex in one participant during SL baseline and one participant during esmolol at SL
178 ($n=10$ for each condition). Speckle tracking measurements were performed for three
179 cardiac cycles, and data were time-aligned and transformed to 1200 points using cubic
180 spline interpolation (2D Strain Analysis Tool, Stuttgart, Germany). Twist data were
181 calculated by subtracting time-aligned basal data from apical data, and torsion calculated
182 as $\text{twist}/\text{length}_d$. Reverse twist was determined as the largest negative deflection of LV
183 twist in early systole. Peak data represent maximum values across the cardiac cycle. The
184 intra-observer coefficients of variation for speckle tracking analyses were 4.4% for twist
185 and 4.7% for apical rotation, in agreement with previous reports²².

186 *Analysis of hemodynamics.* Mean arterial pressure (MAP) was calculated as
187 $1/3 \cdot \text{systolic blood pressure (SBP)} + 2/3 \cdot \text{diastolic blood pressure (DBP)}$. Cardiac output (Q)
188 was calculated as $\text{SV} \cdot \text{HR}$, and total peripheral resistance as MAP/Q . LV end-systolic wall

189 stress (surrogate estimate of afterload) was calculated as $0.9 \cdot \text{SBP} \cdot [\text{end-systolic cavity}$
190 $\text{area} / \text{end-systolic myocardial area}]$ (modified from Haykowski *et al.* 2001²³).

191

192 **Statistical analysis and power calculation**

193 Data are presented as mean \pm standard deviation (SD). To assess the effects of β_1 -
194 blockade and SpO₂ on dependent variables, data from SL and HA were analyzed using a
195 repeated-measures ANOVA with two independent factors (esmolol \times hypoxia). *Post hoc*
196 pairwise comparisons were performed with Bonferroni correction to adjust for multiple
197 testing (six comparisons) with adjusted *P* values reported. Baseline data were compared
198 between SL and HA using paired *t*-tests. To compare the endocardial versus epicardial
199 responses to hypoxia, strain data were analyzed using a repeated measures ANOVA with
200 factors for myocardial region (region_e) and hypoxia (region_e \times hypoxia). All analyses were
201 performed using STATA IC v12.1 (StataCorp, College Station, TX).

202 Stembridge *et al.*² have previously reported a 4.5° difference in LV twist between
203 SL (344m) and HA (5050m), and pooled SD of 3.5°. With a power of 0.80 and alpha of
204 0.05, we required a minimum of 10 individuals to detect this effect.

205

206 **Results**

207

208 **Effects of esmolol and hypoxia at sea level (344 m)**

209 *LV twist mechanics at sea level.* During hypoxia alone, twist ($p < 0.001$), torsion
210 ($p < 0.001$), apical rotation ($p < 0.001$), basal rotation ($p = 0.012$) and untwisting velocity
211 ($p = 0.003$) were increased compared to baseline (Figure 1A, Table 1). During esmolol (β_1 -
212 AR blockade) alone, LV twist ($p = 0.007$), torsion ($p = 0.008$) and basal rotation ($p = 0.032$)

213 were reduced and apical rotation tended to be lower ($p=0.07$) compared to baseline, though
214 untwisting velocity was unchanged. During β_1 -blockade combined with hypoxia
215 (esmolol+hypoxia), twist mechanics were not different from baseline; however, twist,
216 torsion, apical rotation and basal rotation were all augmented compared to esmolol alone
217 ($p\leq 0.001$ for all), suggesting that LV twist mechanics were augmented via a β_1 -AR-
218 independent pathway during hypoxia. There was no effect of hypoxia on initial reverse
219 twist ($p=0.28$), supporting that endocardial mechanics were not impaired with reduced
220 SpO_2 at SL (Figure 2B).

221 *LV strain at sea level.* During hypoxia alone, apical CS ($p=0.004$) and LS
222 ($p=0.047$) increased but basal CS was unchanged (Table 1). With esmolol alone, basal and
223 apical CS were not altered, though LS was lower than baseline ($p=0.023$). During
224 esmolol+hypoxia, CS and LS were not different from baseline or esmolol alone. There was
225 no significant interaction for $region_e \times hypoxia$, indicating that hypoxia did not influence
226 endocardial versus epicardial mechanics differently at SL (Figure 2A).

227 *LV and global hemodynamics at sea level.* During hypoxia alone, Q became
228 augmented ($p<0.001$) via an increase in HR ($p<0.001$), while LV volumes and EF were
229 unaltered (Table 2). During esmolol alone, only EF was reduced compared to baseline
230 ($p=0.002$) whereas LV volumes, HR, blood pressure and Q were unaffected. The addition
231 of acute hypoxia (esmolol+hypoxia) led to reductions in EDV ($p=0.016$) and ESV
232 ($p=0.008$). Although SV and EF were not significantly altered, Q was elevated ($p=0.001$)
233 compared to esmolol alone.

234 *LV structure and geometry at sea level.* There were no effects for esmolol or
235 hypoxia on LV length, LVID_d, relative wall thickness or sphericity index (Table 3).

236

237 **Effects of esmolol and normalized SpO₂ at high altitude (5050 m)**

238 *LV twist mechanics at high altitude.* At HA, baseline twist (p=0.004), torsion
239 (p=0.006), apical rotation (p=0.013) and basal rotation (p=0.007) were all augmented
240 compared to SL baseline (Figure 1A, Table 1). Normalizing SpO₂ lowered twist and torsion
241 (p<0.001), apical rotation (p<0.001), basal rotation (p=0.007) and untwisting velocity
242 (p=0.005). Similarly, esmolol alone reduced twist, torsion and apical rotation (p<0.001),
243 basal rotation (p=0.03) and untwisting velocity (p=0.007) compared to baseline. The
244 combination of normalized SpO₂ with esmolol resulted in a further reduction of LV twist
245 (p=0.036), torsion (p=0.022), and apical rotation (p=0.023) compared to esmolol alone,
246 suggesting that SpO₂ influenced LV twist mechanics via a β_1 -AR-independent pathway.
247 There was no effect of hypoxia on initial reverse twist, supporting that endocardial
248 mechanics were not impaired with reduced SpO₂ at HA (Figure 1B).

249 *LV strain at high altitude.* At HA baseline, basal CS was increased (p=0.025), while
250 LS and apical CS were unaltered compared to SL baseline (Table 1). Normalizing SpO₂
251 lowered basal CS (p<0.003), apical CS (p=0.002) and LS (p<0.001) compared to HA
252 baseline. Esmolol reduced basal CS (p=0.026), apical CS (p<0.001) and LS (p<0.001). The
253 combination of normalized SpO₂ with esmolol further reduced LS and tended to lower
254 basal CS (p=0.055) compared to esmolol alone. There was no significant region \times hypoxia
255 interaction, indicating that hypoxia did not have divergent influences on endocardial versus
256 epicardial mechanics (Figure 2A).

257 *LV and global hemodynamics at high altitude.* Compared to SL baseline, EDV,
258 ESV and SV were reduced (p<0.001), while EF was unchanged at HA (Table 2). HR was
259 increased (p=0.006), but Q was not different from SL baseline. SBP (p=0.011), DBP and
260 MAP (p<0.001) were augmented, while end-systolic wall stress was not different between

261 HA and SL. With normalized SpO₂, HR (p<0.001), Q (p<0.001) and EDV were reduced
262 compared to HA baseline (p=0.039), while ESV, SV and EF were not altered. During
263 esmolol alone, HR (p<0.001), Q (p=0.007), EF (p=0.028) and Q (p=0.007) were reduced,
264 while LV volumes were unchanged from HA baseline. The combination of normalized
265 SpO₂ with esmolol led to further reductions in EDV (p=0.023) and Q (p=0.028), whereas
266 all other hemodynamics were unaltered compared to esmolol alone.

267 *LV structure and geometry at high altitude.* There were no effects for esmolol or
268 hypoxia on LV length, LVID_d, relative wall thickness or sphericity index (Table 3).

269

270 **Discussion**

271 This study aimed to determine the individual and combined influences of β_1 -AR
272 stimulation and SpO₂ on LV twist mechanics in hypoxia. In agreement with our primary
273 hypothesis, our data support that both β_1 -AR-dependent and -independent pathways
274 modulate LV twist, alone and in combination, with hypoxic exposure (Figure 3). While
275 reduced SpO₂ will augment sympathetic activation and β_1 -AR stimulation, these data
276 specifically demonstrate that acute alterations in SpO₂ influence LV twist mechanics via a
277 separate mechanism independent of β_1 -AR activation. Furthermore, utilizing novel
278 analysis techniques to extract regional mechanics, we have demonstrated that endo- and
279 epicardial strain respond similarly to alterations in SpO₂, and initial reverse twist is not
280 impaired with hypoxia. These findings support our secondary hypothesis and suggest that
281 endocardial dysfunction does not occur in hypoxia, nor does it appear to be masked by a
282 greater contribution of epicardial mechanics.

283

284 **β_1 -AR-dependent and -independent modulation of LV twist with hypoxia**

285 A key finding of the current study is that, despite β_1 -AR blockade, LV twist
286 mechanics are further modified by reductions to SpO₂. Specifically, during esmolol
287 infusion, acute hypoxia still augmented twist at SL, and normalizing SpO₂ further lowered
288 twist at 5050m. These observations provide strong evidence for β_1 -independent modulation
289 of LV mechanics in hypoxia. The notion of an SpO₂-related mechanism, independent of
290 sympathetic or neurohormonal increases to β_1 -AR stimulation, is supported by
291 observations that 48-hour administration of β_1 -blocker bisoprolol does not prevent the
292 augmented LV mechanics with acute exposure to hypoxia¹¹. One possible β_1 -AR-
293 independent modulator of LV twist is vagal activation, though most studies examining
294 vagal cardiac control in hypoxia have solely focused on cardioacceleration. Siebenmann
295 and colleagues^{24,25} have reported that β -blockade with propranolol does not prevent
296 hypoxia-related increases to HR in acute or chronic hypoxia, whereas muscarinic blockade
297 prevents cardioacceleration. In the current study, esmolol also did not attenuate hypoxic
298 cardioacceleration at SL; however, it seems improbable that vagal withdrawal contributes
299 to augmented LV twist in hypoxia for several reasons. First, muscarinic receptor densities
300 are higher in human atria versus ventricles²⁶, and cholinergic innervation is substantially
301 greater in atrial and nodal tissues versus the ventricles as well as at the LV base versus
302 apex²⁷. These regional heterogeneities reflect a stronger vagal control of nodal firing and
303 conduction²⁸, and relatively weaker effects on LV contractility and potentially twist.
304 Second, our group has recently demonstrated only small increases to LV twist during vagal
305 blockade that solely resulted from increased basal rotation while apical rotation remained
306 unaltered²⁹. Similarly, Dedobeeler et al.¹¹ reported increases to only basal rotation during
307 vagal blockade in normoxia without changes to apical rotation or twist. Thus, it seems
308 unlikely that vagal withdrawal is a key modulator of LV twist in general, and in acute and

309 chronic hypoxia. Another potential β_1 -AR-independent modulator of LV twist in hypoxia
310 is the activation of cardiac α_1 -ARs¹⁴, which are bound by post-synaptic norepinephrine
311 from sympathetic neurons³⁰ as well as circulating catecholamines. The agonism of α_1 -ARs
312 increases LV contractility in humans³¹, and thus may augment LV twist in hypoxia
313 independent of β_1 -AR-specific modulation. An intriguing characteristic of α_1 -ARs is their
314 activation increases cardiac contractility, while blockade does not impact basal contractile
315 function³². If α_1 -ARs are important regulators of LV twist in hypoxia, they may augment
316 LV contractility and twist to a greater extent in acute hypoxia, compared to following
317 acclimatization to chronic hypoxia. The specific role of α_1 -ARs in modulating LV twist
318 merits further investigation.

319

320 **Preserved endocardial function with hypoxia**

321 A recent hypothesis has proposed that augmented LV twist in hypoxia reflects
322 endocardial dysfunction that is masked by mechanically greater subepicardial torque³. This
323 postulate is based on observations in isolated cardiac muscle preparations that suggest
324 lowered O₂ availability (1% or 10 Torr) impairs contractility⁵. Indeed, *in vivo* studies have
325 shown that acute coronary artery ligation impairs LV contractility and reduces apical
326 rotation and twist^{6,33}. Though these *ex vivo* and *in vivo* data support that extreme, localized
327 hypoxia can reduce myocardial contractility, it must be considered that those models isolate
328 the myocardium from the highly integrative hemodynamic and neurohormonal responses
329 to global hypoxia. Specifically, they do not account for sympathoexcitation driven by
330 peripheral chemoreceptors during lowered SpO₂¹³, which ultimately augments adrenergic
331 stimulation and likely LV twist. Moreover, the local ischemia during occlusion studies
332 would reduce myocardial oxygen delivery substantially compared to exposure to global

333 hypoxia as in our study. Even at barometric pressures near the summit of Mount Everest
334 (240 Torr), LVSV is preserved for a given filling pressure³⁴, and the vast majority
335 published data in the last fifty years indicate that contractile function is preserved at high
336 altitude³⁵.

337 To specifically examine whether there are divergent alterations to endo- versus
338 epicardial mechanics in hypoxia, we performed speckle tracking analyses of those regions
339 and found no significant interaction of myocardial region \times hypoxia, indicating that endo-
340 and epicardial mechanics do not respond differently to hypoxia. Initial reverse twist, which
341 is predominantly driven by the contraction of subendocardial fibers in early systole¹, was
342 also not significantly influenced by SpO₂. Furthermore, subendocardial dysfunction would
343 be expected to result in impaired relaxation and longitudinal mechanics³⁶, neither of which
344 were apparent in the current or previous studies examining twist mechanics at high
345 altitude²⁻⁴ or acute hypoxia¹¹. It has been relatively well-established that the myocardium
346 has ‘excellent’ tolerance to hypoxic exposure³⁷, thus, based on our data, we believe it is
347 unlikely that subendocardial dysfunction contributes to augmented LV twist during
348 reductions to SpO₂. Clinically, hypoxemia can manifest in chronic pathologies that co-
349 present with cardiac dysfunction, however the hypoxia-related elevations to LV twist
350 appear to be adaptive rather than maladaptive in healthy participants, and the degree of
351 hypoxemia experienced at 5000m is not likely extreme enough to cause myocardial
352 dysfunction³⁴.

353

354 **Potential influences of LV geometry, preload and afterload on LV twist in hypoxia**

355 In addition to altered adrenergic stimulation and SpO₂, alterations to LV geometry
356 and loading may influence twist in hypoxia and warrant consideration. LV geometry is an

357 important regulator of apical rotation and twist³⁸, and a larger sphericity index (i.e. more
358 ellipsoid chamber) could contribute to increased twist in chronic hypoxia³. However, in the
359 current study twist increased in hypoxia independent of any alterations to sphericity index,
360 therefore hypoxia-related increases to twist likely were not related to LV geometry.
361 Additionally, while reductions to preload (i.e., total blood volume and EDV^{2,3,12}) could
362 contribute to augmented LV twist at high altitude, Stembridge et al.¹³ have demonstrated
363 that normalization of total blood volume and EDV at high altitude does not restore twist to
364 sea level values. Additionally, substantial reductions to blood volume (~25%) and preload
365 alone do not significantly alter mechanics in the absence of sympathetic activation³⁹. In the
366 current study, we further observed that LV end-systolic wall stress, an index of afterload,
367 was unchanged from baseline at both SL and HA, thus ventricular afterload does not appear
368 to be a key modulator of the LV twist responses to hypoxia.

369

370 **Limitations**

371 While previous studies have utilized similar esmolol dosing protocols to block β_1 -
372 AR stimulation^{17,40}, we did not pharmacologically test the completeness of blockade, thus
373 it is possible that altered SpO₂ could have influenced LV mechanics to a small degree
374 during esmolol via β_1 -AR-dependent pathways. However, given that our esmolol dosage
375 neared the clinical limits (continuous infusion >200 μ g/kg/min is not recommended) and is
376 known to block ~90% of β_1 -AR stimulation⁴⁰, we are confident that a strong degree of β_1 -
377 AR blockade was achieved in the current study. Though we are not aware of any
378 investigations that have utilized such an approach to assess the completeness of
379 pharmacological blockade in humans, the addition of a β_1 -specific agonist such as

380 dobutamine could be utilized in future experiments to determine the extent of β_1 -AR
381 blockade.

382

383 **Conclusions**

384 The findings from this study provide compelling evidence that both β_1 -AR-
385 dependent and -independent regulators augment LV twist mechanics in acute and chronic
386 hypoxia. Even during β_1 -AR blockade, changes in SpO₂ alter LV twist and hemodynamics.
387 While the specific β_1 -AR independent factor remains to be determined, it is possible that
388 alpha-adrenergic stimulation regulates LV twist with hypoxia. Furthermore, for the first
389 time, we have demonstrated that endocardial function does not appear to be impaired with
390 lowered SpO₂, suggesting that augmented LV twist is not a result of a greater epicardial
391 torque ‘masking’ endocardial dysfunction.

392

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396

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398

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- 533

534 Table 1. LV mechanics during β_1 -AR blockade and altered SpO₂ at sea level and high altitude.

| | <i>Sea Level (344m)</i> | | | | <i>High Altitude (5050m)</i> | | | |
|---|-------------------------|----------------|----------------|-----------------------------|------------------------------|----------------|------------------------------|---|
| | <i>Baseline</i> | <i>Esmolol</i> | <i>Hypoxia</i> | <i>Esmolol+ Hypoxia</i> | <i>Baseline</i> | <i>Esmolol</i> | <i>Norm. SpO₂</i> | <i>Esmolol+ Norm. SpO₂</i> |
| Twist (°) | 14.8±3.0 | 11.2±3.3* | 19.6±4.9*† | 16.5±3.3†‡ | 19.5±5.4§ | 13.0±3.8* | 12.8±3.4* | 10.5±3.1*† |
| Torsion (°cm ⁻¹) | 1.55±0.26 | 1.18±0.35* | 2.07±0.48*† | 1.75±0.32†‡ | 2.09±0.54§ | 1.41±0.41* | 1.38±0.35* | 1.13±0.33*† |
| Apical rotation (°) | 10.7±2.7 | 8.5±2.0 | 14.4±3.0*† | 12.3±2.9† | 14.0±4.6§ | 9.7±2.9* | 9.2±2.8* | 7.6±2.1*† |
| Basal rotation (°) | -4.8±1.5 | -3.7±1.9* | -6.0±1.5*† | -5.2±1.7† | -6.3±2.3§ | -4.6±2.6* | -4.3±1.2* | -4.1±2.8* |
| Untwisting velocity (°s ⁻¹) | -104±33 | -87±30 | -138±41*† | -102±30‡ | -131±41 | -99±38* | -98±33* | -84±25* |
| Longitudinal Strain (%) | -18.1±1.6 | -16.8±1.5* | -19.2±1.7*† | -17.0±1.4‡ | -18.7±1.3 | -16.9±1.3* | -17.3±1.7* | -15.9±1.3*†‡ |
| Circumferential Strain, Apex (%) | -24.6±6.7 | -23.3±4.6 | -30.6±5.2*† | -24.8±4.1‡ | -29.2±5.2 | -23.2±3.8* | -25.8±4.2*† | -22.2±5.1*†‡ |
| Circumferential Strain, Base (%) | -19.4±3.5 | -18.2±2.4 | -20.3±2.8 | -18.7±3.6 | -21.8±3.0§ | -18.5±3.4* | -17.8±2.5* | -15.5±3.6* |

535 Values are means±SD. Norm. SpO₂: normalized peripheral oxygen saturation. *p<0.05 vs baseline; †p<0.05 vs esmolol; ‡p<0.05 vs
536 hypoxia/norm. SpO₂; §p<0.05 vs SL baseline.

537

538 Table 2. LV and global hemodynamics during β_1 -AR blockade and altered SpO₂ at sea level and high altitude.

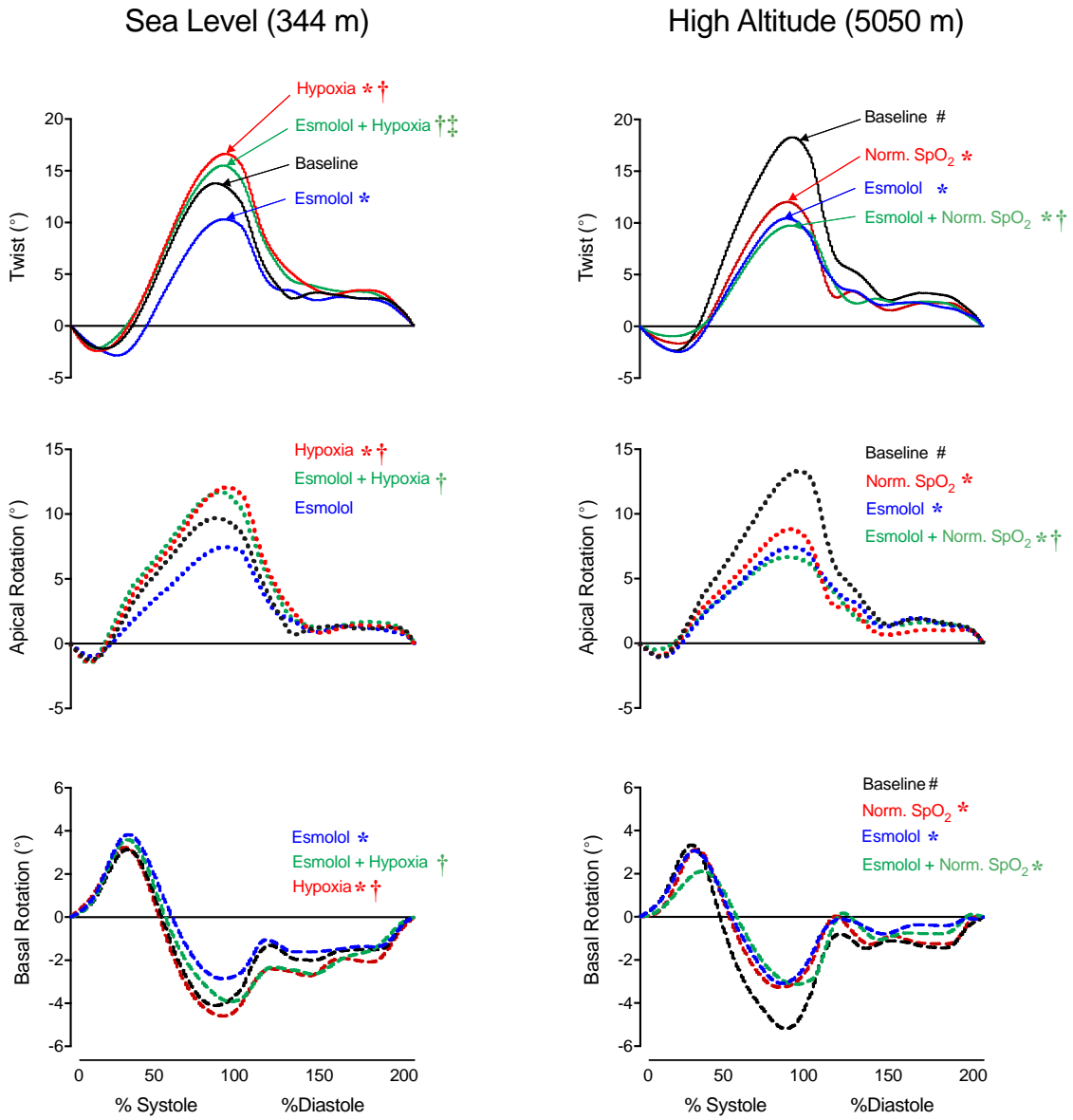
| | <i>Sea Level (344m)</i> | | | | <i>High Altitude (5050m)</i> | | | |
|--|-------------------------|----------------|----------------|-----------------------------|------------------------------|----------------|------------------------------|---|
| | <i>Baseline</i> | <i>Esmolol</i> | <i>Hypoxia</i> | <i>Esmolol+ Hypoxia</i> | <i>Baseline</i> | <i>Esmolol</i> | <i>Norm. SpO₂</i> | <i>Esmolol+ Norm. SpO₂</i> |
| SpO ₂ (%) | 98±1 | 97±1 | 82±4*† | 78±4*†‡ | 83±3§ | 82±5 | 98±1*† | 98±1*† |
| HR (bpm) | 54±9 | 52±10 | 63±11*† | 61±11*† | 64±10§ | 59±11* | 58±11* | 57±10* |
| MAP (mmHg) | 79±6 | 77±6 | 81±5† | 77±5 | 90±8§ | 88±7 | 87±7 | 85±6* |
| SBP (mmHg) | 112±8 | 110±9 | 117±9*† | 112±8‡ | 121±9§ | 115±8* | 118±7 | 113±6*‡ |
| DBP (mmHg) | 63±6 | 61±5 | 64±5 | 62±4 | 76±8§ | 76±7 | 73±8 | 73±6 |
| EDV (ml) | 129±23 | 128±24 | 125±20 | 121±19*† | 118±19§ | 118±19 | 113±18* | 112±18*† |
| ESV (ml) | 52±11 | 54±10 | 49±9† | 50±9† | 48±9§ | 51±10 | 46±8† | 48±9 |
| SV (ml) | 77±13 | 74±15 | 76±13 | 71±12*‡ | 71±11§ | 67±11 | 67±12 | 64±11* |
| EF (%) | 60±3 | 57±3* | 61±4† | 59±4 | 60±2 | 57±3* | 59±3 | 57±3* |
| Q (l·min ⁻¹) | 4.11±0.77 | 3.81±0.79 | 4.72±0.68*† | 4.27±0.66†‡ | 4.43±0.63 | 3.97±0.73* | 3.79±0.53* | 3.56±0.49*† |
| End-systolic wall stress (kdyne·cm ⁻²) | 34.9±8.4 | 37.0±7.7 | 32.6±7.1 | 36.2±9.4 | 37.7±6.0 | 38.1±5.5 | 37.1±4.7 | 37.7±5.8 |
| Total peripheral resistance (mmHg·l ⁻¹ ·min ⁻¹) | 19.9±4.8 | 21.0±4.9 | 17.5±2.9*† | 18.6±3.2† | 20.7±3.7 | 23.0±4.9* | 23.4±4.0* | 24.3±4.1* |

539 Values are means±SD. Norm. SpO₂: normalized peripheral oxygen saturation; HR: heart rate; MAP: mean arterial pressure; SBP:
540 systolic blood pressure; DBP: diastolic blood pressure; EDV: end-diastolic volume; ESV: end-systolic volume; SV: stroke volume;
541 EF: ejection fraction; Q: cardiac output. *p<0.05 vs baseline; †p<0.05 vs esmolol; ‡p<0.05 vs hypoxia/norm. SpO₂; §p<0.05 vs SL
542 baseline.

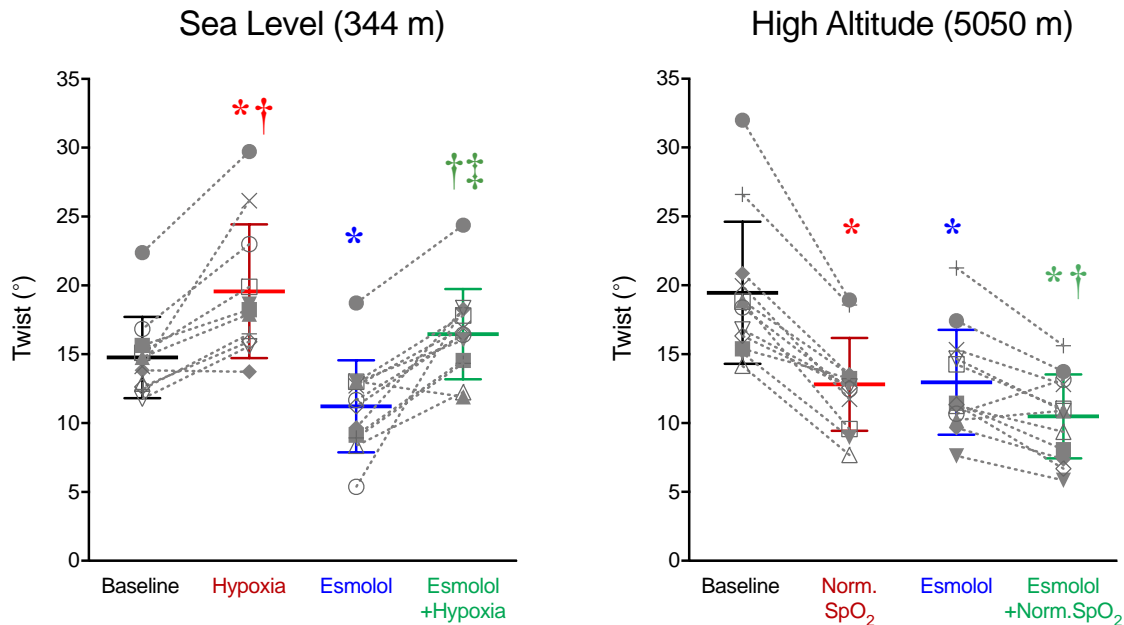
543 Table 3. LV structure and geometry during β_1 -AR blockade and altered SpO₂ at sea level and high altitude.

| | <i>Sea Level (344m)</i> | | | | <i>High Altitude (5050m)</i> | | | |
|--------------------------|-------------------------|----------------|----------------|-----------------------------|------------------------------|----------------|------------------|-------------------------------|
| | <i>Baseline</i> | <i>Esmolol</i> | <i>Hypoxia</i> | <i>Esmolol+ Hypoxia</i> | <i>Baseline</i> | <i>Esmolol</i> | <i>Hyperoxia</i> | <i>Esmolol+ Hyperoxia</i> |
| Length _d (cm) | 9.5±0.6 | 9.5±0.7 | 9.4±0.6 | 9.4±0.6 | 9.3±0.6 | 9.2±0.5 | 9.3±0.6 | 9.3±0.6 |
| LVID _d (mm) | 48±3 | 48±4 | 48±3 | 47±3 | 48±4 | 47±3 | 48±3 | 47±3 |
| Sphericity index | 1.97±0.09 | 1.98±0.15 | 1.97±0.12 | 2.00±0.14 | 1.97±0.17 | 1.97±0.14 | 1.95±0.13 | 1.98±0.16 |
| Relative wall thickness | 0.43±0.04 | 0.43±0.04 | 0.43±0.05 | 0.45±0.05 | 0.44±0.05 | 0.44±0.04 | 0.44±0.06 | 0.47±0.05 |

544 Values are means±SD. *p<0.05 vs baseline; †p<0.05 vs esmolol; ‡p<0.05 vs hypoxia/norm. SpO₂; §p<0.05 vs SL baseline.



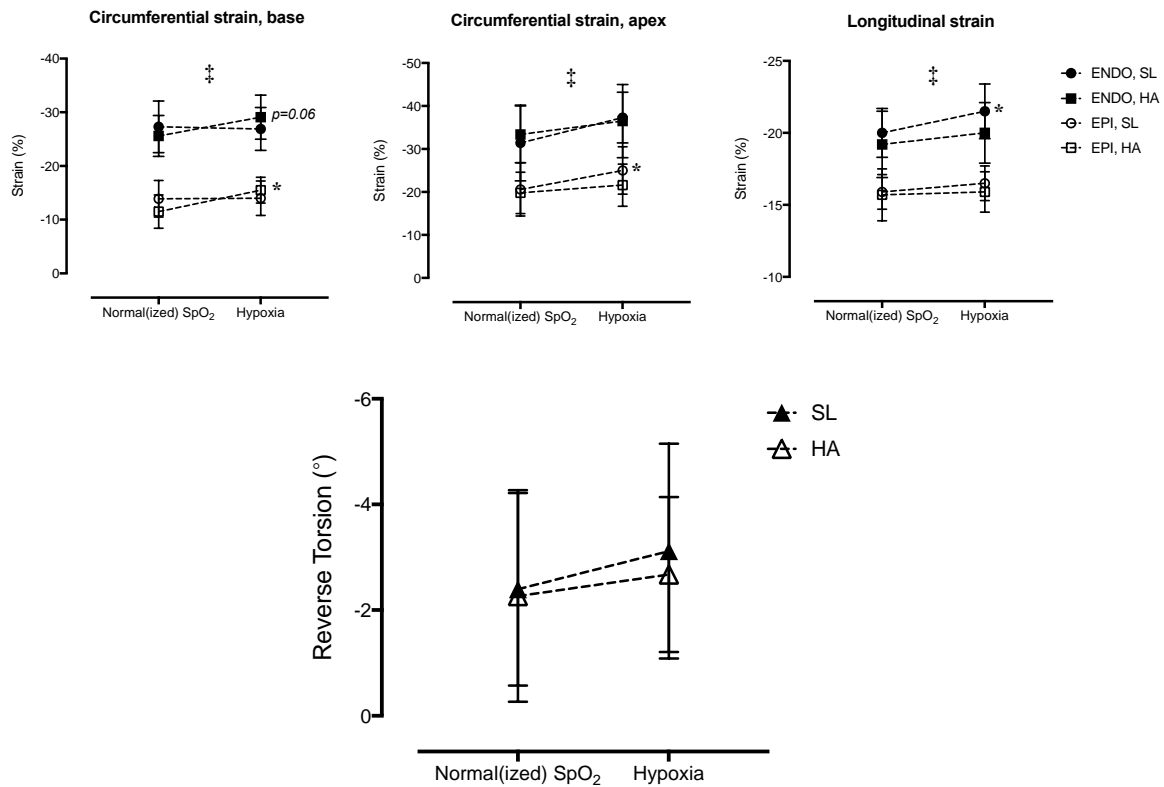
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Figure 1. A) Graphical representation of mean LV twist mechanics across the cardiac cycle (x axis) during at sea level (left), and high altitude (right). Data are shown for LV twist (top, solid lines), apical rotation (middle, dotted lines) and basal rotation (bottom, dashed lines) at baseline (black), during administration of esmolol (blue), altered SpO₂ (red) and esmolol+altered SpO₂ (green). **B)** Individual peak LV twist data are shown at sea level (left) and high altitude (right). Means and SD are represented by horizontal bars, and participants are distinguished with individual symbols. *p<0.05 vs baseline; †p<0.05 vs esmolol; ‡p<0.05 vs hypoxia/norm. SpO₂; §p<0.05 vs SL baseline.

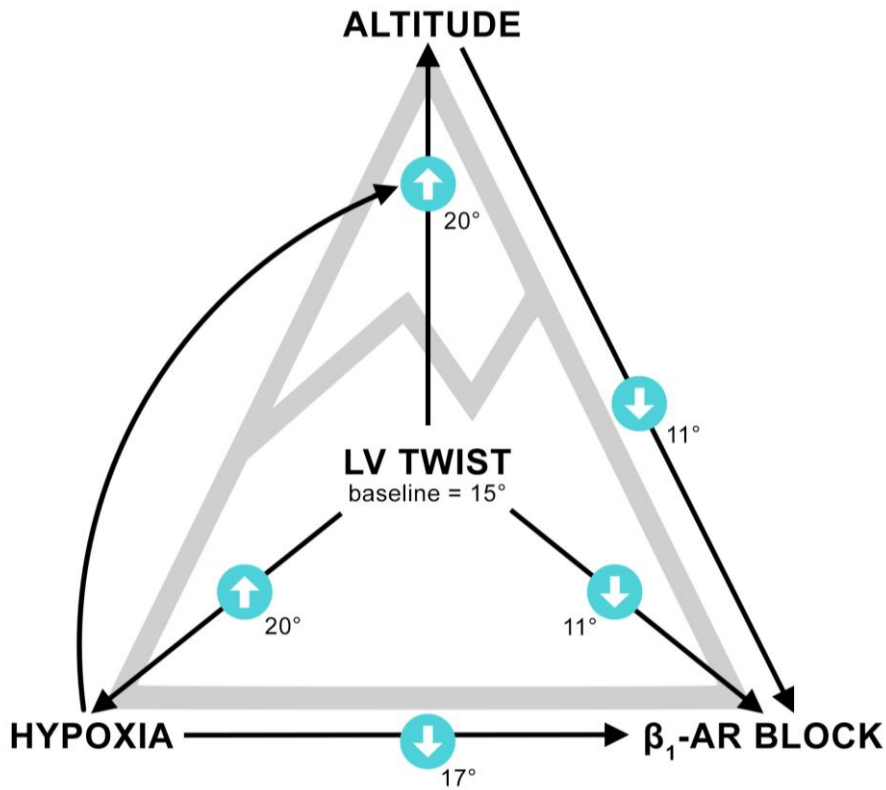
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560 **Figure 2. A)** Alterations to endocardial (solid symbols) and epicardial (open symbols)
 561 measures of circumferential strain at the base (*left*) and apex (*middle*), and longitudinal
 562 strain (*right*) between normal/sea level SpO₂ and hypoxia, at sea level (SL, circles) and
 563 high altitude (HA, squares). Significant interactions for region_m×hypoxia were not
 564 detected. **B)** Peak data for reverse LV twist in early systole are shown during normal/sea
 565 level SpO₂ and hypoxia at SL and HA. Initial reverse twist was not significantly influenced
 566 by hypoxia. **p*<0.05 vs normal(ized) SpO₂; ‡*p*<0.05 effect for hypoxia.



567

568 **Figure 3.** Schematic representation of the influences of hypoxia (acute and chronic/altitude
 569 exposure) and β_1 -adrenergic blockade on LV twist mechanics. Numbers represent mean
 570 LV twist data from the current study (see Table 1 for SD). Upward or downward arrow
 571 icons indicate increases or reductions to LV twist, respectively.