

1 Beta 1-blockade increases maximal apnea duration in elite breath hold divers

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47 **ABSTRACT:**

48 We hypothesized that the cardioselective β_1 -adrenoreceptor antagonist esmolol would improve
49 maximal apnea duration in elite breath hold divers. In elite national level divers (n=9), maximal
50 apneas were performed in a randomized and counterbalanced order while receiving either i.v.
51 esmolol ($150\mu\text{g} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$) or volume matched saline (placebo). During apnea, heart rate
52 (ECG), beat-by-beat blood pressure, stroke volume (SV), cardiac output (CO) and total
53 peripheral resistance (TPR) were measured (finger photoplethysmography). Myocardial oxygen
54 consumption (MVO_2) was estimated from rate pressure product. Cerebral blood flow through the
55 internal carotid (ICA) and vertebral arteries (VA) was assessed using Duplex ultrasound. Apnea
56 duration improved in the esmolol trial when compared to placebo (356 ± 57 vs. 323 ± 61 seconds;
57 $P<0.01$) despite similar end apnea peripheral oxyhemoglobin saturation ($71.8\pm 10.3\%$ vs.
58 74.9 ± 9.5 ; $P=0.10$). The HR response to apnea was reduced by esmolol at 10-30% of apnea
59 duration, while MAP was unaffected. Esmolol reduced SV (main effect; $P<0.05$) and CO (main
60 effect; $P<0.05$), and increased TPR (main effect; $P<0.05$) throughout apnea. Esmolol also
61 reduced MVO_2 throughout apnea (main effect; $P<0.05$). Cerebral blood flow through the ICA
62 and VA were unchanged by esmolol at baseline and the last 30 seconds of apnea; however,
63 global cerebral blood flow was reduced in the esmolol trial at end apnea ($P<0.05$). Our findings
64 demonstrate that, in elite breath hold divers, apnea breakpoint is improved by β_1 -blockade, likely
65 owing to an improved total body oxygen sparing through increased centralization of blood
66 volume (\uparrow TPR) and reduced MVO_2 .

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68 **KEY WORDS:** esmolol; rate pressure product; breath hold diving; cerebral blood flow

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72 **NEW & NOTEWORTHY**

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74 The governing bodies for international apnea competition, the Association Internationale pour le

75 Développement de l'Apnée and La Confédération Mondiale des Activités Subaquatiques have

76 banned the use of beta-blockers based on anecdotal reports that they improve apnea duration.

77 Using a randomized placebo controlled trial we are the first to empirically confirm that beta-

78 blockade improves apnea duration. This improvement in apnea duration coincided with a

79 reduced myocardial oxygen consumption.

80 **INTRODUCTION:**

81 Voluntary apnea elicits an integrative circulatory and neural response to maintain
82 adequate perfusion of vital organs (e.g. the brain), while simultaneously reducing both flow to
83 non-vital organs (e.g. skin and skeletal muscle) and total body oxygen consumption (9, 10, 20).
84 Bradycardia, peripheral vasoconstriction, and centralization of blood volume are the primary
85 cardiovascular responses to voluntary apnea, which together represent the mammalian diving
86 reflex (20). During the initial phase (~25%) of a maximal apnea, the extreme lung volumes
87 mechanically compress the heart while high intra-thoracic pressures impede venous return,
88 resulting in an abrupt drop in stroke volume (SV), cardiac output (CO), and consequently mean
89 arterial pressure (MAP) and cerebral blood flow [CBF; (5, 8, 26)]. Concomitant unloading of the
90 baroreceptors causes a transient increase in muscle sympathetic nerve activity (7), heart rate (22),
91 and total peripheral resistance (TPR). Following this initial phase, bradycardia (via reduced
92 baroreflex output in addition to increases in vagal tone (18)) occurs alongside progressive
93 increases in CO, MAP, TPR (5, 25) and CBF (23, 31). These elevations in CO, MAP, and TPR
94 are thought to be mediated via marked elevations in sympathetic nerve activity (10-20 fold) (14,
95 29). Along with hypoxemic and hypercapnic mediated dilation of cerebral blood vessels, this
96 increase in MAP aids in augmenting the CBF response to maintain cerebral oxygen delivery
97 throughout the apnea (31).

98 The precise mechanism(s) for apnea breakpoint remain poorly understood (24), but in the
99 motivated and elite breath hold diver are likely related in part to chemoreflex stress (3, 4) and a
100 critical oxygen level required to maintain consciousness (4, 31). Additional mechanisms have
101 been theorized to contribute to breakpoint in the untrained breath holder such as diaphragmatic
102 afference (24), however, how this translates to the motivated and elite breath holder is unknown.

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Beta (β) adrenoreceptor antagonists (β -blockers) have been commonly used in elite apnea competitions due to anecdotal reports of improved maximal apnea duration (personal correspondence, Croatian National Apnea Coach). As a result, the Association Internationale pour le Développement de l'Apnée (AIDA; <http://www.aidainternational.org>) and La Confédération Mondiale des Activités Subaquatiques (CMAS; <http://www.cmas.org>) prohibit the use of β -blockers in and out of competition. Given that recent work in elite apnea divers supports the presence of a critical oxygen tension as a major contributor to apnea break point (4), the present theory is that β -blockade improves maximal apnea duration via myocardial oxygen sparing, yet there are no published data demonstrating this effect. In the first randomized and placebo-controlled study of its kind, we determined the effects of β_1 -blockade on maximal apnea duration and the circulatory parameters that are characteristic of extreme apnea. It was hypothesized that β_1 -blockade would prolong apnea duration, owing to a reduced heart rate throughout the apnea and a consequently reduced rate of myocardial oxygen consumption (MVO_2). We further reasoned that the effects of β_1 -blockade would be reflected in the cerebral vasculature through a lower CBF during baseline and end apnea compared to the placebo control due to a reduced CO and MAP.

METHODS:

Subjects

126 Elite breath hold divers (n=9; 1 female) were recruited from the National Croatian Apnea
127 team to participate in this study. The divers were 29±9 years old, weighed 80±13Kg, and were
128 184±8cm tall (body mass index = 23.5±2.6). Personal record static apnea time was on average
129 394 seconds (range: 296-496), while divers had a mean of 4 years (range: 2-11) of experience in
130 competitive apnea training and an FVC of 6.9L (range: 5.0-7.8). Following written informed
131 consent, divers visited the laboratory on one occasion for the experimental session. Subjects
132 arrived at either 8am or 12pm having abstained from alcohol, caffeine, and exercise for 24 hours
133 prior to arrival. All subjects were free from any cardiovascular, respiratory, and cerebrovascular
134 disease at the time of study as assessed by a screening questionnaire and spirometry. This study
135 was approved by the University of British Columbia Clinical Research Ethics Board, and by the
136 Ethical Committee of the University of Split School of Medicine and conformed to the
137 *Declaration of Helsinki*.

138

139 ***Experimental Design***

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141 Upon arrival to the laboratory subjects first performed a spirometry test (Quark PFT,
142 Cosmed, Rome, Italy) in the upright position. Subjects voided their bladders and were then
143 instructed to rest supine, at which time a 20-gauge intravenous catheter was placed into an ante-
144 cubital vein under local anesthesia (lidocaine 1.0%). Following cannulation subjects rested for
145 ≥ 20 minutes during the set-up of experimental monitoring equipment.

146 This study implemented two experimental conditions: 1) cardiac specific β_1 -adrenergic
147 receptor blockade (Esmolol; Esmocard, Austria, 10mg · mL⁻¹), and 2) a volume matched placebo
148 (Saline; 0.9% NaCl) condition. Esmolol was first infused at a rate of 500 μ g · kg⁻¹ · min⁻¹ for one

149 minute followed by $50\mu\text{g} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ for 5 minutes. This was repeated two additional times
150 prior to a steady maintenance infusion of $150\mu\text{g} \cdot \text{kg}^{-1} \cdot \text{min}^{-1}$ for the remainder of the drug
151 condition. These doses have previously been established to effectively reduce HR, systolic blood
152 pressure, RPP, and both left and right ventricular ejection fraction during rest and exercise (16).
153 The order of infusions was randomized in a counterbalanced manner and separated by >5 half-
154 lives (60 minutes) (30). The participants and apnea coach were blinded to the experimental
155 condition.

156 All experimental breath holds (maximal breath holds) were completed in the presence of
157 the breath hold divers' coach, the Croatian national apnea coach. The coach was present to
158 ensure all divers were attaining a true maximal apnea. Each maximal breath hold was preceded
159 by two practice breath holds. The preparatory breath holds included one at functional residual
160 capacity; lasting until seven involuntary breathing movements (IBMs), and after two minutes of
161 rest, a second breath hold at total lung capacity, lasting until ten IBMs. Subjects then rested
162 quietly for six minutes in preparation for their maximal breath hold. The experimental breath
163 hold was performed at TLC, while the extent of glossopharyngeal insufflation (lung packing)
164 performed was based upon the individual capacity of each subject, but standardized between
165 trials.

166

167 *Experimental Measures*

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169 *Cardiovascular Measures.*

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171 All cardiovascular and respiratory variables were sampled continuously at 1000Hz via an
 172 analog to digital acquisition system (Powerlab; ADInstruments, Colorado Springs, CO). Finger
 173 photoplethysmography (Finometer, Finapres Medical Systems, Amsterdam, Netherlands) was
 174 used to measure beat-by-beat blood pressure and estimate cardiac output (CO), stroke volume
 175 (SV), and total peripheral resistance (TPR), while standard three-lead electrocardiogram (ECG)
 176 was used to measure heart rate. Peripheral oxyhemoglobin saturation (SpO₂) was measured
 177 during baseline and throughout the apneas (Poet II, Criticare, USA). The IBM onset was
 178 recorded in real time during the apnea by the apnea coach and verified from a chest
 179 plethysmography belt. All data were interfaced with LabChart (version 7, ADInstruments) on a
 180 laboratory computer were stored for offline analysis.

181

182 *Myocardial oxygen consumption*

183

184 For each trial MVO₂ of the left ventricle was estimated from RPP (the product of systolic
 185 blood pressure and HR) and left ventricular mass. To calculate MVO₂ per 100g tissue, we used
 186 the equation reported by Gobel *et al.*, 1978 (12):

187

$$188 \quad (1) \quad MVO_2(mL \cdot 100g^{-1} \cdot min^{-1}) = 0.08 (RPP \cdot 10^{-2}) - 0.15$$

189

190 Left ventricular mass was estimated at baseline using echocardiography from a parasternal short-
 191 axis view in accordance with the recommendations of the American Society of
 192 Echocardiography (19) using the following equation:

193

194 (2) $LV\ Mass\ (g) = 0.8 * 10.4 * [(IVS + LVID + PWT)^3 - LVID^3] + 0.6g$

195 where IVS and PWT are the diameters of the ventricular septum and inferolateral wall during
 196 diastole, respectively, and LVID is the internal diameter of the LV during diastole,

197

198 To then calculate absolute MVO_2 for each subject, left ventricular mass was incorporated into
 199 the following equation on an individual basis:

200

201 (3) $MVO_2(mL \cdot min^{-1}) = \frac{Left\ ventricular\ mass\ (g)}{100g} (0.08 (RPP \cdot 10^{-2}) - 0.15)$

202

203 The cumulative myocardial oxygen consumption throughout apnea was then calculated by
 204 estimating MVO_2 from RPP on a beat-by-beat basis. The volume cost of oxygen per beat was
 205 calculated by dividing beat-by-beat MVO_2 by the R-R interval for each heart-beat. Total
 206 myocardial oxygen consumption for the entire apnea was calculated as the area under the curve
 207 of the beat-to-beat O_2 cost.

208

209 *Cerebrovascular Measures.*

210

211 Blood velocity in the right middle cerebral artery (MCAv) and left posterior cerebral
 212 artery (PCAv) were measured using a 2MHz transcranial Doppler ultrasound (TCD; Spencer
 213 Technologies, Seattle, WA). The TCD probes were attached bilaterally to a specialized
 214 commercial headband (model M600 bilateral head frame, Spencer Technologies) and secured in
 215 place. Insonation of the MCA and PCA was performed through the trans-temporal window
 216 using previously described location and standardization techniques (32).

217 Blood flow through extra-cranial cerebral vessels was measured using a 10MHz multi
 218 frequency linear array vascular ultrasound (Terason T3200, Teratech, Burlington, MA). The
 219 internal carotid (ICA) and vertebral artery (VA) were insonated ipsilateral to the MCA and PCA,
 220 respectively. Arterial diameter was measured via B-mode imaging, while peak blood velocity
 221 was simultaneously measured with pulse-wave mode. Measurements of ICA diameter and
 222 velocity (ICAv) were acquired ~1.5cm distal to the common carotid bifurcation, with no
 223 evidence of turbulent or retrograde flow present during recording. Vertebral artery diameter and
 224 velocity (VAv) were acquired between either the C4-C5 or C5-C6 vertebral segment. This
 225 location was determined on an individual basis in an attempt to select the most reproducible
 226 measures, with the same location repeated within subjects. Measurement location and insonation
 227 angle were standardized within subject and between the esmolol and placebo conditions. An
 228 angle correction of 60° was used for all subjects, while the velocity sample volume was placed in
 229 the center of the vessel and adjusted to span across the entire luminal diameter. Baseline
 230 recordings were made in all subjects (n=9); however, the sample size for VA measures
 231 throughout an entire breath hold was reduced (n=6) due to strict exclusion criteria such as
 232 obvious angle changes.

233 Ultrasound images of the extra-cranial vessels were recorded as video files at 30Hz and
 234 stored for offline analysis. Concurrent values for arterial diameter and peak blood velocity were
 235 acquired at 30Hz, using customized edge detection software designed to mitigate observer bias
 236 (33). Blood flow was subsequently calculated for each vessel using the following formula:

237

$$238 \quad (4) \quad Q_{ICA} \text{ or } Q_{VA} = \frac{Peak\ Velocity}{2} \cdot \pi \left(\frac{Diameter}{2} \right)^2$$

239

240 Where Q_{ICA} represents ICA blood flow, and Q_{VA} represents VA blood flow. For the maximal
 241 apneas Q_{ICA} and Q_{VA} were averaged for a one minute baseline, and the last 30 seconds of apnea.
 242 Furthermore, values were also calculated on an individual basis to represent 10% increments in
 243 total apnea duration. No flow calculation included less than 12 consecutive cardiac cycles, and
 244 where possible included the entire averaging period. Once individual vessel flow was calculated,
 245 global cerebral blood flow (gCBF) was estimated for each apnea time point using the following
 246 formula:

247

$$248 \quad (5) \quad gCBF = 2 (Q_{ICA} + Q_{VA})$$

249

250 During the latter half of each maximal apnea (i.e., struggle phase), IBM's resulted in large
 251 diaphragmatic movements and neck muscle recruitment (i.e. sternocleidomastoid contraction).
 252 As such, reliable velocity traces were no longer attainable. Once measures of Q_{ICA} and Q_{VA} were
 253 no longer attainable due to poor velocity traces, flow was estimated using the following formulas
 254 in subsequent fashion for the remainder of the apnea:

255

$$256 \quad (6) \quad eICA_v \text{ or } eVA_v = \text{Pre IBM } ICA_v \text{ or } VA_v \cdot \% \Delta MCA_v \text{ or } \% \Delta PCA_v$$

257

$$258 \quad (7) \quad Q_{ICA} \text{ or } Q_{VA} = eICA_v \text{ or } eVA_v \cdot \pi \left(\frac{d}{2} \right)^2$$

259

260 Where changes in MCA_v and PCA_v were used to calculate an estimated ICA and VA velocity
 261 (eICA_v & eVA_v, respectively; equation 3). The term "Pre IBM ICA_v or VA_v" represents the
 262 average velocity value of the last 30 seconds before the IBMs that precluded the measurement of

263 velocity occurred, and “ $\% \Delta \text{MCAv}$ or $\% \Delta \text{PCAv}$ ” represents the relative change in MCAv and
264 PCAv from the same pre IBM stage. As such, this multiplication gives a reliable estimate of
265 neck vessel blood velocity during the struggle phase of apnea in the event that changes in
266 downstream vessel (MCA & PCA) velocity represent upstream vessel (ICA & VA) velocity
267 changes. As reliable diameter measures (d) were still attainable throughout IBMs, changes in
268 vessel diameter were accounted for in our estimation of volumetric blood flow (equation 4).
269 Volumetric flow through the neck vessels (Q_{ICA} and Q_{VA}) was then calculated from the estimated
270 velocity values and vessel cross sectional area ($\pi(\frac{d}{2})^2$). To exemplify the feasibility of this
271 estimation, we ran a Pearson’s correlation between the % changes in ICAv and VAv, with those
272 of MCAv and PCAv, respectively, throughout apnea until velocity measures were unattainable.
273 The average within subject R^2 values between ICAv and MCAv were 0.88 ± 0.12 and 0.90 ± 0.10
274 during the placebo and esmolol trials, respectively. For VAv and PCAv the R^2 average was
275 0.88 ± 0.09 and 0.95 ± 0.03 for the placebo and esmolol trials, respectively. The strong correlation
276 between blood velocity of confluent intra and extracranial blood vessels supports the accuracy of
277 our estimation. Further, we have previously demonstrated that MCAv and ICAv reactivity do not
278 differ statistically over a wide range of end-tidal CO_2 (Rest to +9mmHg) (15), while work in our
279 laboratory also indicates ICAv and VAv reactivity do not differ from MCAv and PCAv
280 reactivity respectively during hypoxia at an SaO_2 of 98%, 90%, 80%, and 70% (unpublished
281 observations).

282

283 ***Statistical Analyses.***

284

285 Differences in apnea duration and time to IBM onset between esmolol and placebo were
286 compared using two-tailed paired t-tests. To analyze the affect of esmolol over the progression of
287 apnea, apnea duration was normalized to 100%, with comparisons being made between placebo
288 and esmolol conditions at 10% relative increments in apnea duration using a two-way repeated
289 measures ANOVA (factors: esmolol & apnea duration). When significant F-ratios were detected,
290 post-hoc comparisons were made using Tukey's HSD. End apnea variables (final 30sec) in the
291 placebo and esmolol trials were compared using two-tailed paired t-tests (Table 1). Data are
292 presented as mean±standard deviation.

293

294 **RESULTS**

295

296 *Apnea Duration*

297

298 Apnea duration increased 10±10% from 323.4±60.6 seconds during the placebo trial to
299 355.9±56.8 seconds after β_1 -blockade with esmolol ($P<0.01$; Figure 1). The time to IBM onset
300 was delayed by 21±15% [from 160.4±41.3 seconds during the placebo trial to 192.0±45.3
301 seconds after treatment with esmolol ($P<0.01$)]. There was no relationship between the absolute
302 ($r^2=0.06$; $P=0.53$) or percent ($r^2=0.21$; $P=0.22$) change in IBM onset and apnea duration. Despite
303 the prolonged apnea duration, SpO₂ during the last 30 seconds of apnea was not significantly
304 different between placebo (74.9±9.5%) and (71.8±10.3%) esmolol trials ($P=0.10$).

305

306 *Hemodynamics*

307

308 Values and statistical output for HR, MAP, SV, CO, and TPR during each relative time
309 point throughout apnea are presented in Figure 2. During the initial phase of apnea HR was
310 elevated in both placebo and esmolol trials, while esmolol reduced HR compared to placebo at
311 10-30% of apnea duration. In both trials MAP was elevated from baseline. Throughout apnea,
312 SV and CO were reduced from baseline, and lower (main effect: both $P < 0.01$) during the
313 esmolol compared to placebo trial from 40% to max apnea and 20% to max apnea, respectively.
314 End apnea (final 30 seconds; Table 1) HR and MAP were not different between esmolol and
315 placebo, while SV and CO were reduced by 15% and 18% in the esmolol trial, respectively;
316 however, the difference in CO did not reach statistical significance ($P = 0.06$). Esmolol increased
317 TPR (main effect: $P = 0.04$) compared to placebo, while within condition TPR was elevated above
318 baseline from 20-100% of apnea duration.

319 There was a main effect of esmolol on MVO_2 ($P < 0.01$; Figure 3), which was lower in the
320 esmolol trial. In both trials MVO_2 was elevated from baseline at 30%, 40%, and 90%. There was
321 a $16.2 \pm 4.2\%$ reduction in MVO_2 across all stages (range: 8.0-21.6%) in the esmolol trial.
322 However, there was no difference in the cumulative myocardial oxygen consumption between
323 the placebo (76.3 ± 19.9 mL) and esmolol (70.2 ± 21.7 mL) trials ($P = 0.27$; Figure 3B) due to the
324 prolonged duration of the esmolol apnea.

325

326 *Cerebral Blood Flow*

327

328 Measures of Q_{ICA} , Q_{VA} , gCBF, MCA_v and PCA_v were not different between placebo and
329 esmolol at baseline (Table 2). At the end of apnea (final 30 seconds) the sample size for gCBF

330 and Q_{VA} were reduced to six participants. In these six participants, gCBF was lower at the end of
331 apnea during the esmolol trial compared to placebo (Table 2).

332

333 **DISCUSSION**

334

335 The primary findings of this study are: 1) β_1 -blockade with esmolol improves maximal
336 apnea duration in elite breath hold divers by ~10%, 2) esmolol reduces MVO_2 at each time point
337 throughout maximal apnea by ~16%, while the cumulative myocardial oxygen consumption was
338 unaltered, 3) there are marked changes in the hemodynamic response (TPR & CO) to apnea
339 between esmolol and placebo trials, and 4) end apnea SpO_2 was not different despite the
340 prolonged apnea in the esmolol trial. Collectively, these data support the hypothesis that apnea
341 breakpoint is influenced by β_1 -blockade but ultimately governed by a critical oxygen tension.

342

343 *β_1 -blockade and Apnea Duration*

344

345 It is now recognized that β -blocker drugs act as an ergogenic aid and are therefore banned
346 from international apnea competition by AIDA. In support of this rule, using a placebo-
347 controlled and blinded design, this study is the first to empirically demonstrate the increased
348 maximal apnea time with β_1 -blockade in elite breath hold divers.

349

350 *Hemodynamic response to apnea*

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352 β_1 -blockade affects hemodynamics, and thus CO, through chronotropic and inotropic
353 means (2, 16). In the present study we observed a predominantly negative inotropic influence of
354 β_1 -blockade with esmolol on CO (reduced SV), with only slight chronotropic changes. With the
355 exception of a lower HR during the initial hypotensive phase of apnea (first 10-30% apnea
356 duration), esmolol did not have an appreciable affect on HR; MAP was not different from
357 placebo at any relative time point during the esmolol trial apnea. However, esmolol did cause a
358 reduction in SV throughout the apnea (likely through reduced contractility – i.e., negative
359 inotropy), which caused a concomitant reduction in CO compared to the placebo trial. This
360 reduction in CO would have likely acted to further baroreceptor unloading and augment the
361 reflex increase in sympathetic output (and TPR) throughout the apnea (14) in order to maintain
362 the MAP response. Despite a reduced CO, increased centralization of blood volume (due to \uparrow
363 TPR) in the esmolol trial likely reduced non-vital organ perfusion while aiding in the selective
364 maintenance of oxygen delivery to vital organs.

365 Esmolol reduced left ventricular MVO_2 throughout apnea when compared to the placebo
366 trial, indicating that whole heart MVO_2 would have likely been reduced (Figure 3). Reduced
367 MVO_2 would further spare oxygen and also contribute in part to the prolonged apnea duration
368 associated with β_1 -blockade. Despite a lower MVO_2 throughout apnea, the cumulative
369 myocardial oxygen consumption was not different between trials owing to the prolonged apnea
370 in the esmolol trial.

371

372 *Mechanisms Governing Apnea Termination*

373

374 The physiological apnea breakpoint (i.e., cessation of breathhold) in untrained breath
375 holders, which is observed as IBM onset in elite breath hold divers, is governed largely by
376 chemoreceptor afferents (6, 11). Breskovic *et al.*, 2012 suggested that IBM onset is related
377 predominantly to a PaCO₂ threshold level of 6.5 ± 0.5 kPa (48.8 mmHg). A similar PaCO₂
378 threshold for IBM onset has been reported in most (1, 21) but not all (4) earlier studies,
379 highlighting the importance of PaCO₂ in initiating the physiological breakpoint. Nevertheless,
380 the prevailing oxygen tension will impact the level of PaCO₂ at which IBM onset occurs (6).
381 These chemoreflex interactions, along with a modulatory role from lung afferents (reviewed in:
382 (24)) indicate that it is the integration of signals (i.e., peripheral and central chemo-afference)
383 that collectively govern the physiological breakpoint.

384 Concurrent decreases in O₂ depletion and CO₂ production, both a consequence of a
385 reduced metabolism, will act to attenuate arterial blood gas changes and hence chemoreflex
386 stimulation. Indeed, our findings show that IBM onset was delayed by ~32 seconds, but
387 nevertheless occurred at the same level of SpO₂ between trials (Placebo = $97.8 \pm 1.3\%$; Esmolol =
388 $96.4 \pm 2.2\%$). Under the assumption that the respiratory exchange ratio was unaltered between
389 trials, a similar reduction in SpO₂ signifies that metabolic CO₂ production likely did not differ
390 and was also similar between trials at IBM onset. Therefore, despite the delayed IBM onset with
391 esmolol treatment, IBMs likely occurred at the same level of chemoreflex stress with no
392 influence from altered chemosensitivity (13) or CO₂ washout in the brainstem (i.e., Q_{VA}, an index
393 of brainstem flow, was similar between trials). Therefore, it is likely that the delay in reaching a
394 chemoreflex threshold was responsible for delayed IBM onset (or physiological breakpoint). In
395 contrast to the physiological breakpoint, the actual apnea termination in elite breath hold divers
396 appears to be only modestly affected by suppression of peripheral chemoreflex drive (4).

397 Typically it is accepted that man is incapable of sustaining a voluntary apnea to the point
398 of losing consciousness (27). However, many elite apnea divers are able to suppress their
399 ventilatory drive during an apnea to the loss of consciousness (unpublished observations). This
400 latter point is reflected in that AIDA includes loss of consciousness as a criterion for
401 disqualification during apnea competition. At this point, the apnea ‘breakpoint’ is potentially
402 governed to a large extent by a threshold oxygen tension to maintain cerebral functioning and,
403 therefore, consciousness. Current literature indicates this threshold occurs around 25-35mmHg
404 PaO₂ (4, 31), which coincides with P50. Despite a prolonged apnea duration following esmolol
405 in the current study, SpO₂ was not significantly different between trials indicating similar end
406 apnea hypoxic stress. Based upon the commonly used Severinghaus equation (28) and our pulse-
407 oximetry measures, it appears that end apnea PaO₂ would have been approximately 35-40mmHg
408 in both the placebo and esmolol trials. While the achieved hypoxic stimulus does not appear as
409 severe as previous study indicating the potential of a oxygen threshold for apnea termination (4),
410 the similar end apnea SpO₂ indicates some role of hypoxia in mediating apnea breakpoint in both
411 trials. Of note, data from our previous study (4) where concurrent SpO₂ (finger pulse-oximetry)
412 and SaO₂ (radial artery blood draw) measures were collected, indicate that SpO₂ overestimates
413 SaO₂ by a mean value of ~5%, and that this over estimation is largest below an SpO₂ of 70%
414 (Figure 4). Therefore, it is likely the end apnea hypoxic stimulus was similar to our previous
415 studies in elite breath hold divers (4, 31). These data are similar to our previous findings in elite
416 breath hold divers of similar end apnea PaO₂, despite moderate prolongation (but in some cases
417 reductions) in maximal apnea time following peripheral chemoreflex blunting with low dose
418 dopamine (4).

419 In the current study, centralization of blood volume and therefore a reduction of non-vital
420 organ perfusion but maintenance of vital organ oxygen delivery, in combination with myocardial
421 oxygen sparing, likely reduced both the hypoxic and acidotic stresses characteristic of extreme
422 apnea. Together, these factors likely underscore the increased apnea duration with esmolol.

423

424 ***Methodological Considerations***

425

426 In the current study we have used RPP and echocardiographic assessment of left
427 ventricular mass to estimate left ventricular MVO_2 from the equation reported by Gobel *et al.*,
428 1978 (12). Although RPP has been shown as an excellent ($r=0.85$) correlate of MVO_2 during
429 exercise pre- and post- β -blockade (propranolol) (17), we acknowledge that this validation has
430 not been made during the specific conditions of prolonged apnea. Despite this, it is unlikely that
431 our estimates of RPP and MVO_2 would differ between conditions and discredit our findings.
432 Further, although we used comparable doses to other studies (16), we must acknowledge that we
433 did not assess the completeness of our β_1 -blockade. However, the presence of an incomplete
434 block would have lead to an underestimation of the magnitude by which esmolol impacts apnea
435 duration.

436 We used SpO_2 to quantify the hypoxic stimulus during prolonged breath hold during
437 placebo (saline) and esmolol infusion. As demonstrated in Figure 4, SpO_2 over estimates SaO_2
438 substantially below a SaO_2 of $\sim 70\%$. However, given the over estimation of SaO_2 , our data
439 should be interpreted as presenting similar end apnea hypoxic stress as previous investigations
440 by our group (4, 31).

441

442 **CONCLUSION**

443

444 This study contributes to the recent body of literature (4, 31) supporting the emerging
445 hypothesis that apnea breakpoint in elite breath hold divers is governed to a large extent by an
446 oxygen threshold for the maintenance of consciousness. Cardiac specific β_1 -blockade with
447 esmolol improved apnea duration in the current study and thus acts as an effective ergogenic aid
448 in apnea competition. The likely mechanisms by which esmolol increased apnea duration is
449 through increased centralization of blood flow (due to \uparrow TPR), maintenance of vital organ
450 perfusion, and a reduced MVO_2 . Together these two factors would contribute to improved total
451 body oxygen sparing and reduced metabolic CO_2 production.

452

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454

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458 apnea divers from the Croatia National Apnea team for their participation.

459

460 **CONFLICT OF INTEREST**

461

462 The authors declare no conflict of interest, financial or otherwise.

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556 TABLES

557 Table 1. End Apnea hemodynamic variables.

	Units	Placebo	Esmolol
HR	$\text{b} \cdot \text{min}^{-1}$	54.2±16.9	52.2±13.3
MAP	mmHg	139.0±18.6	129.6±19.1
SV	mL	92.7±21.8	78.5±21.3 [†]
CO	$\text{L} \cdot \text{min}^{-1}$	5.4±2.4	4.4±1.3
RPP	$\text{mmHg} \cdot \text{b}^{-1} \cdot \text{min}^{-1}$	13192±3882	10124±3318 [†]
Systolic BP	mmHg	247.9±37.6	195.7±25.8 [†]
SpO₂	%	74.9±9.5	71.8±10.3

558 † denotes a significant difference between placebo and esmolol, $P < 0.05$. HR, heart rate; MAP,
559 mean arterial pressure; SV, stroke volume; CO, cardiac output; RPP, rate pressure product; BP,
560 blood pressure; SpO₂, peripheral oxyhemoglobin saturation.

561

562 Table 2. Baseline and end apnea cerebrovascular variables.

	Units	Baseline		Final 30 seconds	
		Placebo	Esmolol	Placebo	Esmolol
Q_{ICA}	$\text{mL} \cdot \text{min}^{-1}$	222.9±33.5	201.5±33.0	384.8±77.1	347.6±66.3
Q_{VA}	$\text{mL} \cdot \text{min}^{-1}$	74.3±35.5	72.6±38.7	144.5±61.9	133.5±57.3 _(n=6)
gCBF	$\text{mL} \cdot \text{min}^{-1}$	583.6±99.8	531.1±88.2	935.2±415.0 _(n=6)	808.8±373.1 [†] _(n=6)
MCA_v	$\text{cm} \cdot \text{s}^{-1}$	57.3±11.5	54.8±10.3	94.8±11.8	92.2±12.0
PCA_v	$\text{cm} \cdot \text{s}^{-1}$	41.0±13.4 _(n=8)	39.2±6.3 _(n=8)	68.4±17.6 _(n=8)	64.6±15.5 _(n=8)

563 As noted in the methods section, the values for Q_{ICA}, Q_{VA}, and gCBF are based off of estimated
564 velocity values combined with real diameter measures. † denotes a significant difference
565 between placebo and esmolol, $P < 0.05$; N=9 unless otherwise specified. Q_{ICA}, internal carotid
566 artery blood flow; ICA_v, internal carotid artery blood velocity; D_{ICA}, internal carotid artery
567 diameter; Q_{VA}, vertebral artery blood flow; VA_v, vertebral artery blood velocity; D_{VA}, vertebral
568 artery diameter; gCBF, global cerebral blood flow; MCA_v, middle cerebral artery blood velocity;
569 PCA_v, posterior artery blood velocity.

570 **FIGURES**

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575 **Figure 1. Maximal apnea duration during placebo and esmolol trials.** Individual data is
 576 represented by open circles (○) and solid lines, while mean data is represented by filled squares
 577 (■) and the dashed line. Overall there was a 32 ± 25 second increase in apnea duration with
 578 esmolol treatment. * denotes a significant difference in apnea duration ($P < 0.01$).

579

580 **Figure 2. The hemodynamic response to maximal apnea during placebo and esmolol**
 581 **infusion.** Data are normalized within subjects to represent 10% increments in apnea duration.
 582 Closed circle (●) represent data from the placebo trial. Open squares (□) represent data from the
 583 esmolol trial. Data were analyzed using a two way repeated measures ANOVA with the factors
 584 of apnea duration and esmolol treatment. * significant difference from baseline $P < 0.05$; † main
 585 effect of treatment $P < 0.05$; ‡ interaction between apnea time and treatment. HR, heart rate;
 586 MAP, mean arterial pressure; SV, stroke volume; CO, cardiac output; TPR, total peripheral
 587 resistance.

588

589 **Figure 3. Myocardial oxygen consumption (MVO_2) during maximal apnea in elite breath**
 590 **hold divers. A.** Data are normalized within subjects to represent 10% increments in apnea
 591 duration. Closed circle (●) represent data from the placebo trial. Open squares (□) represent data
 592 from the esmolol trial. Data were analyzed using a two way repeated measures ANOVA with the
 593 factors of apnea duration and esmolol treatment. * significant difference from baseline $P < 0.05$; †
 594 main effect of treatment $P < 0.05$. **B.** Total MVO_2 throughout apnea was unaltered between
 595 placebo and esmolol trials. Individual data are represented by open circles (○) and solid lines,
 596 while mean data is represented by filled squares (■) and the dashed line.

597

598 **Figure 4. A Bland-Altman plot of SaO_2 and SpO_2 at the end of a maximal apnea.** This figure
 599 demonstrates an over estimation of SaO_2 (radial blood draw) by SpO_2 (finger pulse-oximetry) at
 600 the end of apnea in elite breath hold divers using data from our previous study (4). The dotted
 601 line represents the mean difference (5.3), while the dashed lines represent the upper and lower
 602 limits of agreement (16.1 and -5.6, respectively). Of note, the over estimation of SaO_2 by SpO_2 is
 603 most evident below a SaO_2 of 70%. Each data point represent an individual subject, $n=14$.

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