

1 **UBC-Nepal Expedition: Markedly lower cerebral blood flow in high altitude Sherpa**
2 **children compared to children residing at sea-level**

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16 **Running title:** Cerebral hemodynamics in Sherpa and Lowlander children

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21 Abstract

22 Developmental cerebral hemodynamic adaptations to chronic high altitude exposure, such
23 as in the Sherpa population, are largely unknown. To examine hemodynamic adaptations in the
24 developing human brain, we assessed common carotid (CCA), internal carotid (ICA) and
25 vertebral artery (VA) flow and middle cerebral artery (MCA) velocity in 25 (9.6±1.0 y, 129±9
26 cm, 27±8 kg, 14 girls) Sherpa children (3800m, Nepal) and 25 (9.9±0.7 y, 143±7 cm, 34±6 kg, 14
27 girls) age-matched sea-level children (344m, Canada) during supine rest. Resting gas exchange,
28 blood pressure, oxygen saturation and heart rate were assessed. Despite comparable age, height
29 and weight were lower (both $P<0.01$) in Sherpa compared to sea-level children. Mean arterial
30 pressure, heart rate and ventilation were similar, whereas oxygen saturation (95±2 vs. 99±1%,
31 $P<0.01$) and end-tidal PCO₂ (24±3 vs 36±3 mmHg, $P<0.01$) were lower in Sherpa children.
32 Global cerebral blood flow was ~30% lower in Sherpa compared to sea-level children. This was
33 reflected in a lower ICA flow (283±108 vs. 333±56 ml/min, $P=0.05$), VA flow (78±26 vs 118±35
34 ml/min, $P<0.05$) and MCA velocity (72±14 vs 88±14 cm/s, $P<0.01$). CCA flow was similar
35 between Sherpa and sea-level children (425±92 vs. 441±81 ml/min, $P=0.52$). Scaling flow and
36 oxygen uptake for differences in vessel diameter and body size respectively, led to the same
37 findings. A lower cerebral blood flow in Sherpa children may reflect specific cerebral
38 hemodynamic adaptations to chronic hypoxia.

39 **New & Noteworthy**

40 Cerebral blood flow is lower in Sherpa children compared to children residing at sea-
41 level; this may reflect a cerebral hemodynamic pattern, potentially due to adaptation to a hypoxic
42 environment.

43

44 **Keywords:** brain blood flow, high altitude, preadolescents, hypoxia

45

46 **Introduction**

47 The human brain is a highly demanding organ using 15% of the total cardiac output and ~
48 20% of the oxygen taken up by a human at rest (29). Furthermore, because of the limited
49 substrate storage, an adequate delivery of oxygen and nutrients to the brain is essential (11).
50 Limited oxygen availability, as experienced during hypoxia, challenges brain blood flow
51 regulation to meet its high energy requirements (4, 6). Some animals have evolved to tolerate
52 extreme limitations of oxygen by lowering energy expenditure and utilization in the brain to
53 allow survival despite prolonged hypoxemia or anoxia (16). Tibetans and Sherpas who have
54 migrated from the Tibetan plateau, have adapted to their low-oxygen environment [reviewed:
55 (14)]. Although other native populations to high altitude exist (e.g., Andeans and Ethiopians), the
56 Tibetan population have resided at altitudes between 3500 – 4500 m above sea level for the
57 longest [~25000 years, (8)] and thus serve as a unique model to study long-term adaptation to
58 hypobaric hypoxia.

59 Acute exposure to hypoxia in sea-level residents (Lowlanders) results in an elevation in
60 cerebral blood flow (CBF) which serves to offset reductions in arterial oxygen content and thus
61 maintain cerebral oxygen delivery. Over time at a given altitude, when oxygen content improves,
62 CBF returns towards baseline [reviewed in: (5, 21)]. In Tibetans (and Sherpas) CBF has been
63 reported to be the same (26) or lower (30) compared to populations residing at sea-level. Existing
64 data are sparse and mostly based on adults. Exposure to limited oxygen availability during
65 development can result in reduced birth weight and cardio-pulmonary pathologies, but also
66 negatively affect neurodevelopment (38). Developmental cerebral hemodynamic adaptations to
67 chronic high altitude exposure are, however, largely unknown.

68 A previous study exposing children (n=9; 9±2 y, 5 boys) normally residing at sea-level to
69 acute (24 h at 3500 m after a 5 day trek) hypobaric hypoxia (e.g., altitude) resulted in a 29%
70 increase in the middle cerebral artery (MCA) velocity (13). Evidence from Andean children
71 demonstrates MCA velocity in those living at 500 m (n=18, 8±2 y, 8 boys) compared to those
72 living at 3700 m (n=19, 8±1 y, 10 boys) was not different (19). In contrast, the authors reported
73 that velocity in the basilar artery was lower in those living at high altitude (19). Both studies in
74 children (13, 19) as well as studies in adults (25, 26) have used transcranial Doppler ultrasound to
75 assess velocity in the intracranial arteries (MCA or basilar artery). A limitation of this approach is
76 the assumption that vessel diameter is unchanged, however, hypoxia can lead to changes
77 (dilation) in the diameter of cerebral arteries (53); which would result in an underestimation of
78 CBF (1, 20).

79 Accordingly, the primary aim of the present study was to compare regional and global
80 CBF in high altitude Sherpa children and age-matched children residing at sea-level. This aim
81 was achieved by assessing volumetric flow in the common (CCA) and internal carotid (ICA)
82 arteries and the vertebral artery (VA) and employing a novel edge detection software approach
83 (43). We hypothesized that both regional and global CBF would be lower in the Sherpa children
84 compared to age-matched children residing at sea level.

85

86 **Materials and Methods**

87 *Ethical Approval*

88 All experimental protocols and procedures were approved by the clinical research ethics
89 board at the University of British Columbia and the Nepal Health Medical Research Council and

90 conformed to the Declaration of Helsinki. This independent project was part of the UBC
91 expedition to Nepal 2016 which included a larger series of experiments. Prior to participation a
92 detailed verbal and written explanation of the measurements was provided and each participant
93 and their parent/guardian completed written informed consent. All documents were provided in
94 the first language of the participant. The research team consisted of a Nepali and a Sherpa
95 language translator (SN) to facilitate on-site communication with the high altitude participants.

96 *Participants*

97 Twenty-five Sherpa children (9.6 ± 1.0 y, 14 girls, Thame and Khunde, Nepal, 3800 m)
98 and 25 age-matched children residing at sea level (9.9 ± 0.7 y, 14 girls, Kelowna, Canada, 340 m)
99 took part in the study. As determined by an oral screening questionnaire of the Sherpa children by
100 a Nepalese physician (SN) and the child's parent/guardian, and a written screening questionnaire
101 in the children from sea-level completed by their parent/guardian, none of the children were
102 reported to have any cardiovascular, cerebrovascular or respiratory disease. None of the children
103 were on prescription or over-the-counter medications. As some of the Sherpa children and their
104 parents only knew their birthdate in the Nepali calendar, our Nepalese physician (SN) and Sherpa
105 guide converted these to the Gregorian calendar in order to calculate the specific birthdate.

106 *Experimental measures*

107 Body mass was measured with electronic scales with subjects barefoot and dressed in
108 light clothing. Stature and sitting height were measured barefoot with a Harpenden stadiometer.
109 Body mass index (BMI) was calculated from body mass (kg) divided by stature (m^2). Predicted
110 age at peak height velocity (aPHV) was estimated (35) and used to classify the children as pre-
111 adolescent or adolescent. Heart rate (HR) was assessed using a 3-lead electrocardiogram (ECG;

112 ADI BioAmp ML132), and blood pressure using an automated cuff (Tango+; SunTech,
113 Morrisville, NC). Oxygen saturation (SpO₂) was measured using a pulse oximeter (Rad-5[®],
114 Masimo SET, Irvine CA, USA) with child-size adhesive sensors (LNCS[®] Neo, Masimo SET,
115 Irvine CA, USA). Pulmonary gas exchange [oxygen uptake (VO₂), CO₂ production (VCO₂),
116 partial pressure of end-tidal CO₂ (PetCO₂) and O₂ (PetO₂)], tidal volume (V_T), ventilation (VE)
117 and breathing frequency (BF) were recorded breath by breath using a metabolic cart (Oxycon
118 Pro, Carefusion, USA), interpolated to 1-s intervals. Children were breathing through a
119 mouthpiece with their nose occluded using a nose clip. The gas analyzer was calibrated daily
120 using gases of known concentrations before the first test, and volume was calibrated before each
121 test in Kelowna and in Nepal. Alveolar ventilation (V_A) was estimated according the following
122 equation: $PaCO_2 = VCO_2 \cdot K / V_A$, where PaCO₂ is the arterial partial pressure of CO₂ and K is
123 0.863 (49). An estimation of PaCO₂ was derived from PetCO₂ (33). In one child residing at sea-
124 level respiratory data is missing, as such all respiratory data in children residing at sea-level are
125 based on n=24. Transcranial Doppler Ultrasound (2MHz, TCD, Spencer Technologies, Seattle,
126 WA) was used to assess middle cerebral artery mean velocity (MCAv_{mean}). To secure the probe in
127 place the TCD probes were attached to a headpiece (child-sized adjusted model M600 bilateral
128 head frame, Spencer Technologies). The MCA was insonated through the middle trans-temporal
129 window, using previously described locations and standardization techniques (50). Due to
130 insufficient imaging quality in one of the children residing at sea-level, MCAv_{mean} data is based
131 on n=24 in the sea-level group. Blood velocity and vessel diameter of the left CCA, left ICA and
132 left VA were measured using a 10 MHz multi-frequency linear array vascular ultrasound
133 (Terason T3200, Teratech, Burlington, MA). B-mode imaging was used to measure arterial
134 diameter, while pulse-wave mode was used to simultaneously measure peak blood velocity.
135 Extracranial blood flow measurements were made in accordance with recent technical

136 recommendations (43). All CCA, ICA and VA recordings were screen captured and stored as
137 video files for offline analysis (55). A minimum of 20 consecutive cardiac cycles were used to
138 determine extracranial blood flow measurements. Due to insufficient imaging quality in one of
139 the children residing at sea-level, VA data is based on n=24 in the sea-level group. Volumetric
140 blood flow was calculated using the following formula:

$$\text{CCA, ICA or VA flow} = \frac{\text{CCA, ICA or VA Peak Envelope Velocity}}{2} \cdot [\pi (0.5 \cdot \text{Diameter})^2]$$

141 Global cerebral blood flow (gCBF) was calculated using the following formula:

$$\text{gCBF} = 2 (\text{ICA flow} + \text{VA flow})$$

142 ECG and $\text{MCA}_{\text{vmean}}$ were sampled continuously at 1000 Hz using an analogue-to-digital
143 converter (Powerlab, 16/30; ADInstruments, Colorado Springs, CO, USA) and data were
144 interfaced with LabChart (Version 7), and analyzed offline.

145 *Study protocol*

146 Sherpa children were either tested in Thame (3800 m, Thame Community Health Clinic,
147 Thame, Nepal) or in Khunde (3840 m, Khunde Hospital, Khunde, Nepal). Children from sea-
148 level were tested in Kelowna (344 m, Kelowna, Canada). Before any measurements were taken,
149 children were rested in a supine position for at least 10 min. Thereafter, measurements were taken
150 supine over a 15 min period while volumetric flow measurements of the CCA, ICA and VA were
151 assessed consecutively.

152 *Data and statistical analysis*

153 Values were taken as an average over at least 1 minute. Using a two-way ANOVA all
154 outcome measures were tested for a sex effect; however, since the results revealed an absence of

155 difference between girls and boys, data were therefore pooled together. Comparison of the main
156 outcome variables between Sherpa children and children residing at sea-level were made using a
157 Student's t-test. Data are given as mean \pm SD unless otherwise indicated. To adjust for size
158 differences in respiratory parameters (adjusted for body mass) and blood flow (vessel size)
159 between Sherpa children and children from sea-level we applied allometric scaling using a log
160 linear ANCOVA. Covariate adjusted means (\pm SE) were obtained from this model. To confirm
161 whether the allometric adjustment using body mass adequately accounted for differences in size
162 between the two groups of children, a subset analysis of 8 Sherpa children and 8 size-matched
163 children residing at sea-level was conducted. Statistical significance was set at $P < 0.05$.
164 Statistical analyses were performed using SAS Enterprise Guide (4.3, SAS Institute, Cary, NC).

165

166 **Results**

167 Sherpa children were smaller (129 \pm 9 vs. 143 \pm 7 cm, $P < 0.01$) and weighed less (27 \pm 8 vs.
168 34 \pm 6 kg, $P < 0.01$) compared to the age-matched children residing at sea-level. Both groups,
169 however, had a similar BMI (16 \pm 2 vs 17 \pm 2 kg/m², $P = 0.13$). Calculation of predicted aPHV
170 showed both groups were preadolescent; however, Sherpa children show a younger biological
171 age despite similar chronological age in comparison to children residing at sea-level, with aPHV -
172 3 \pm 1 y in Sherpa children vs -2 \pm 1 y in children residing at sea-level ($P = 0.01$). Table 1 reports the
173 cardiovascular and respiratory parameters of Sherpa children and children residing at sea-level.
174 Briefly, there was no difference in mean arterial pressure (MAP), HR, V_E , V_A and V_T . In contrast,
175 SpO_2 , $P_{ET}CO_2$, $P_{ET}O_2$, VO_2 and VCO_2 were lower in the Sherpa children, whereas BF was higher
176 in Sherpa children compared to children residing at sea-level. Scaling VO_2 (242 \pm 1 vs. 325 \pm 1

177 $\text{ml}\cdot\text{l}^{-1}$, $P<0.01$), VCO_2 (186 ± 1 vs. 250 ± 1 $\text{ml}\cdot\text{l}^{-1}$, $P<0.01$) and V_E (8.1 ± 1 vs. 8.6 ± 1 $\text{l}\cdot\text{min}^{-1}$, $P=0.34$)
178 for body mass did not diminish the difference between Sherpa children and children residing at
179 sea-level.

180 *Cerebrovascular variables:* Sherpa children had a lower $\text{MCAV}_{\text{mean}}$ (71.5 ± 13.6 vs. 87.5 ± 14.3
181 $\text{cm}\cdot\text{s}^{-1}$, $P<0.01$) compared to children residing at sea-level. Figure 1 illustrates the mean velocity,
182 diameter and mean flow in the CCA, ICA and VA. There was no difference in mean flow in the
183 CCA between the two groups. In contrast, ICA and VA flow were lower in the Sherpa children
184 compared to children residing at sea-level. As such, gCBF in Sherpa children was $\sim 30\%$ lower
185 compared to children residing at sea level (Figure 2). Furthermore, VA flow contributed less to
186 the gCBF in Sherpa children compared to children residing at sea level ($22\pm 7\%$ vs. $26\pm 6\%$,
187 $P=0.03$, Figure 2). Adjusting CCA (432 ± 1 vs. 411 ± 1 $\text{ml}\cdot\text{min}^{-1}$, $p=0.33$, $\text{mean}\pm\text{SE}$), ICA (270 ± 1
188 vs. 317 ± 1 $\text{ml}\cdot\text{min}^{-1}$, $P<0.01$, $\text{mean}\pm\text{SE}$) and VA (82 ± 1 vs. 97 ± 1 $\text{ml}\cdot\text{min}^{-1}$, $P<0.01$, $\text{mean}\pm\text{SE}$)
189 flow for differences in diameter size between Sherpa children and children residing at sea-level
190 did not affect the above-mentioned findings. Furthermore, these findings (gCBF, CCA flow, ICA
191 flow, VA flow and $\text{MCAV}_{\text{mean}}$) in Sherpa children versus children residing at sea level persisted
192 when expressed as cerebrovascular conductance (8.4 ± 1.7 vs. 11.5 ± 2.5 $\text{ml}\cdot\text{min}^{-1}\cdot\text{mmHg}^{-1}$,
193 $P<0.01$; 5.1 ± 1.1 vs. 5.6 ± 1.2 $\text{ml}\cdot\text{min}^{-1}\cdot\text{mmHg}^{-1}$, $P=0.14$; 3.3 ± 0.7 vs. 4.3 ± 1.0 $\text{ml}\cdot\text{min}^{-1}\cdot\text{mmHg}^{-1}$,
194 $P<0.01$; 0.9 ± 0.3 vs. 1.5 ± 0.4 $\text{ml}\cdot\text{min}^{-1}\cdot\text{mmHg}^{-1}$, $P<0.01$ and 0.9 ± 0.2 vs. 1.1 ± 0.3 $\text{cm}\cdot\text{s}^{-1}\cdot\text{mmHg}^{-1}$,
195 $P<0.01$, respectively).

196 *Size-matched comparisons:* The size-matched comparison resulted in no difference between the 8
197 Sherpa children and children residing at sea-level in weight and stature (Table 2). Predicted
198 aPHV showed the same biological age for Sherpa children and the children residing at sea-level
199 (-2.4 ± 1.3 vs. -2.6 ± 1.0 , $P=0.83$). Similar to the age-matched comparison, Sherpa children showed

200 a lower ICA flow, VA flow, gCBF and $MCAV_{\text{mean}}$ ($P < 0.01$, Table 2) compared to the children
201 residing at sea-level.

202

203 **Discussion**

204 In this study, for the first time, we assessed global and regional CBF in pre-adolescent Sherpa
205 children and compared them to age-matched children residing at sea-level. Our main finding was
206 a 30 % lower gCBF in Sherpa children compared to children residing at sea-level. In contrast,
207 there was no difference observed in CCA flow, indicating a possible redistribution to the external
208 circulation. The following discussion considers the evidence, methodological assumptions and
209 the relevance underlying the findings of this study.

210 *Comparisons with previous studies:* Our results are broadly consistent with a recent study
211 reporting a lower gCBF in Tibetans born and raised at 3200-4500 m compared to Tibetans living
212 at sea-level for two years (30). The difference in gCBF is somewhat smaller compared to the
213 present study (~15% vs. ~ 30%) – that may be explained due to (i) the inclusion of data of adults,
214 (ii) that the comparison was performed between the same ethnicity or (iii) differences in diameter
215 evident in our dataset. Estimating gCBF using the diameter adjusted ICA and VA mean flows,
216 attenuates the difference in gCBF between the Sherpa children and sea-level children to ~ 15%.
217 Similarly, an unpublished dataset comparing adults residing at sea level and adult Sherpas at high
218 altitude confirms the lower gCBF in the adult Sherpa population (22). Andean children residing
219 at 3700 m have also been shown to have a reduced basilar artery velocity compared to those
220 living at sea level (19). A previous study (26), that failed to show a difference in CBF in Sherpa
221 and Tibetan adults compared to those residing at sea-level, relied on $MCAV_{\text{mean}}$ as a measure for

222 CBF and thus did not take differences in diameter into account. Assessing only velocity can lead
223 to an under- or overestimation of flow as in accordance with Poiseuille's Law, even the smallest
224 changes in diameter have a major effect on flow [e.g., flow \sim (diameter/2)⁴]. In a further study
225 (23) ICA flow was assessed in Tibetans versus Han Chinese adults and no difference was
226 observed between the two groups; however, the Han Chinese had been living in Lhasa for the last
227 1-15 years and thus it is not a true comparison between sea-level natives and those native to high
228 altitude.

229 *Maturation, CBF and hypoxia:* Although the regulation of CBF in adults is relatively well
230 studied, the influence of maturation is poorly understood in the pediatric brain. Growth and
231 maturation occur alongside critical surges in neural development, myelination, metabolic
232 demands and increased cerebral utilization of glucose (3). It is known that global CBF at birth is,
233 on average, 50 ml (100 g)/ min, increasing after birth to a maximum of 70–80 ml (100 g)/ min at
234 5–7 years and then decreasing to reach adult levels after 19 years (42). This temporal pattern of
235 change is probably a consequence of brain development and subsequent 'shaping' of neurons,
236 synapses and pathways that occurs with maturation (3). In our study cohort the Sherpa children
237 are biologically younger compared to the children residing at sea-level and are smaller, thus
238 might have a lower brain volume. We also found a smaller arterial diameter in the Sherpa
239 children compared to those residing at sea-level. It is worth noting that Murray's law (37)
240 suggests flow varies with vessel diameter (D^3) in arteriolar beds where the relationship between
241 blood volume and vascular resistance is important for the maintenance and regulation of regional
242 blood flow. This may provide the most efficient transport of oxygen to the relatively smaller, less
243 mature and/or high-altitude adapted brain, which may have a relatively lower oxygen uptake
244 and/or utilization. Nevertheless, the temporal pattern of maturation as well as neurodevelopment

245 could, potentially be altered due to exposure to hypoxia (45). Furthermore, cognitive impairments
246 have been reported in high altitude natives [reviewed in (47, 57)]. Moreover, a recent study has
247 also shown cognitive impairment in school children residing at high altitude compared to children
248 going to school at low altitude (48). Whether limited oxygen availability is the only underlying
249 cause is difficult to define as high altitude natives also face malnutrition, underdeveloped living
250 infrastructure, as well as poor access to medical care [reviewed in (38, 47)].

251 *Putative mechanism(s) of action:* A lower CBF in Sherpa children highlights a cerebral
252 hemodynamic pattern that may reflect a long term adaptation to chronic hypoxia. A potential
253 underlying mechanism mediating the lower CBF could be a lower cerebral metabolism as
254 reported in hypoxia-tolerant animals (16) and Quechua high altitude residents (at sea level) (17).
255 In Sherpas, cerebral glucose metabolism did not differ in Sherpas, at least when examined at sea
256 level, in comparison to sea-level residents (18). Data on cerebral metabolism in adult or child
257 Sherpas at altitude does not exist. We found a markedly lower resting VO_2 in the Sherpa children
258 which was weakly related with gCBF ($r^2 = 0.15$, $p < 0.01$). Data on the relationship between VO_2
259 and cerebral metabolism in children is sparse. Evidence in children with traumatic brain injury
260 shows no relationship between whole body and cerebral metabolism (32), and corroborates our
261 findings that whole body VO_2 plays a minimal role in the variability in gCBF.

262 At sea-level, changes in arterial PCO_2 is well-known to be the major regulator of CBF (27, 51).
263 Thus, the observed hypocapnia in the Sherpa children at high altitude could be the cause of
264 vasoconstriction and hence reductions in CBF. We feel this is an unlikely explanation since it is
265 the extra-cellular pH rather than arterial PCO_2 that acts to influence cerebrovascular tone [(28)
266 and reviewed in: (52)]. In the context of a sojourn at given altitude, excretion of HCO_3 occurs in
267 order to provide metabolic compensation of the respiratory alkalosis [reviewed in (2)]. Over 2-3

268 weeks at 4000m, at least in adults, it is likely that pH would be nearly corrected [reviewed in (2)];
269 therefore, this normal hypocapnic / alkalotic stimulus to attenuate CBF is removed (5).

270 Moreover, as the Sherpa children had a lower biological age and potentially experience lower
271 growth rate, brain volume might play a role. Brain volume has been shown to increase up to
272 about 18 years of age. In contrast, gCBF peaks between 4 to 6 years of age, declining thereafter
273 and as such brain volume and gCBF were not correlated (56). Our findings of a lower gCBF in
274 the Sherpa children remained when we matched a subgroup by body size, lending support to our
275 scaling approach.

276 A further factor affecting blood flow is blood viscosity, as increased blood viscosity can lead to
277 reduced CBF (15, 24, 25, 34). Exposure to a hypoxic environment stimulates red blood cell
278 production and thus can result in an increased hematocrit and blood viscosity (40). Hemoglobin
279 concentrations in high altitude residents were reported to be higher in Andeans than in Tibetans
280 (and Sherpas), whereas hemoglobin in the latter diverges less from sea-level residents (54). In a
281 recent study of prepubertal (6-13 years) Tibetan children residing at 4300m (12), hemoglobin
282 concentrations approximate the 95th percentile for the sea-level US population; these hemoglobin
283 concentrations thus do not exceed the normal range seen in this age group at sea level.
284 Hemoglobin concentration was not acquired in the present data, thus we can only speculate
285 possible differences in hemoglobin concentrations in the Sherpa children compared to children
286 residing at sea-level. Furthermore, as an inverse relationship exists between CBF and hemoglobin
287 to match oxygen content [reviewed in (21)], a higher hemoglobin concentration in Sherpa
288 children would support the lower CBF compared to children residing at sea-level. Nevertheless, it
289 seems differences in hemoglobin and blood viscosity between high altitude dwelling Tibetans

290 and sea-level residents are small and likely only contribute to a minor extent to the decreased
291 gCBF in Sherpa children.

292 *Ventilation and saturation:* Interestingly, there was no difference in V_E and V_A between Sherpa
293 children and children from sea-level, even when scaled for differences in body mass. Previously,
294 elevated V_E has been shown in Sherpas compared to Andeans and sea-level residents (9). An
295 increased V_E appears to facilitate a higher VO_2 , compensating for the low oxygen availability
296 [reviewed in: (8)]. We found rather high SpO_2 values when compared to existing data in the same
297 age group (7, 46). In a more recent study (30) in Tibetan adolescents at 3658 m, the SpO_2 values
298 were similar to those we report a 92%. Furthermore SpO_2 peaks at 10-19 years and decreases
299 during adulthood (7), supporting our higher values in comparison to adult data. Additionally,
300 higher SpO_2 values could be a consequence of a possible left shift of the oxygen dissociation
301 curve which favors a better pulmonary oxygen uptake in a hypoxic environment (36). This would
302 support the low $PetCO_2$ values we observed in the Sherpa child and thus potential elevations in
303 pH (i.e. respiratory alkalosis), promoting a leftward shift in the oxygen dissociation curve.

304 *Regional cerebral blood flow distribution:* The left and right CCA and VA supply the head and
305 brain with blood. The CCA branches into the ICA and the external carotid artery (ECA) at the
306 carotid bulb and whereas the ICA and VA supply the brain, the ECA supplies the face with
307 blood. Unlike the ICA and VA, CCA flow was comparable between Sherpa children and children
308 living at sea-level. Thus, given a similar flow is going to the head in both groups, it would appear
309 that the lower ICA flow in the Sherpa children would suggest greater flow is distributed towards
310 the ECA. The distribution towards the ECA could be driven by the “Plateau Red Face”
311 phenomenon - an expansion of small blood vessels in the face, as observed in high altitude
312 residents (31). Furthermore, during exercise in the heat, an increase in ECA flow for

313 thermoregulation has been observed in adults (39, 41). Whether the likely redistribution of flow
314 to the ECA in Sherpa children is driven by a small blood vessel expansion or a form of
315 thermoregulatory adaptation to the high altitude environment remains to be determined.
316 Likewise, similar to unpublished data on adult Sherpas (22), Sherpa children show a lower
317 percentage of gCBF distributed to the posterior circulation (Figure 2). Whether the unequal
318 distribution of ICA, ECA and VA flow in Sherpa children serves as an adaptive mechanism to
319 long term exposure to high altitude remains speculative.

320 *Methodological considerations:* There are a number of methodological considerations that
321 underlie our findings. The current study contains data from Sherpa children which were collected
322 in two villages (Thame and Khunde) in Nepal. The sample size and the assessment of CBF for
323 this study cohort are some of the largest to date. However, field studies, as well as studies in
324 children, yield limitations such as the lack of blood samples or poor control of pre-testing
325 guidelines. Additionally, we have attempted to examine two different population groups that are
326 matched for chronological age; however, stature, mass, maturation and the living environment
327 diverge between the Sherpa children and children residing at sea-level. Despite allometric scaling
328 for differences in size, it is impossible to fully overcome this limitation. To partially consider this
329 influence, in a subset of the study cohort, we matched children for size. The subset analyses
330 which was independent of size differences supported the lower CBF in Sherpa children compared
331 to children residing at sea-level. Calculating predicted aPHV to estimate the biological age in the
332 Sherpa children yields an additional limitation as a slower growth rate has been reported for this
333 population (44). Thus our biological age calculation most likely has overestimated the biological
334 age of the Sherpa children. A further consideration is that both groups of children were tested at
335 the altitude where they were born and raised. For future studies, comparing these children at the

336 same altitude, via acclimatizing sea-level residents to high altitude, or by deacclimatizing high
337 altitude residents to sea-level, would lead to further insight to long term adaptation to a hypoxic
338 environment in children.

339 *Clinical implications:* In many childhood diseases there is a lack of oxygen either due to limited
340 oxygen uptake, transport or utilization. Thus knowledge describing how children adapt to an
341 environment where oxygen availability is limited may help to establish optimal mechanisms that
342 can be incorporated into the development of improved treatments and therapies to help these
343 children [reviewed in (10)]. Furthermore, there is increasing evidence for children living at high
344 altitude experiencing cognitive impairment (48). Oxygen conditioning, a technique that enriches
345 rooms with oxygen (48), could potentially help to improve cognitive abilities in these children.
346 Whether or not daily oxygen enrichment might enhance cognitive function via a CBF or direct
347 central nervous system mechanism is unclear.

348 In conclusion, Sherpa children have a reduced gCBF in comparison to sex and age-
349 matched children from sea-level. A lower CBF in Sherpa children highlights a cerebral
350 hemodynamic pattern that may reflect a long term adaptation to chronic exposure to a hypoxic
351 environment.

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359 **Disclosures**

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361 Table 1. Cardiovascular and respiratory parameters of age-matched Sherpa children and children
 362 residing at sea-level.

	Sherpa children	Sea-level children	<i>P</i> - value
	n = 25	n = 25	
MAP (mmHg)	82±10	80±11	0.487
HR (bpm)	87±15	81±9	0.095
SpO ₂ (%)	94.6±1.8	99.6±0.7	<0.001
V _E (l min ⁻¹)	8.38±1.66	8.67±2.04	0.594
V _A (l min ⁻¹)	6.66±1.75	6.53±1.52	0.782
BF (min ⁻¹)	23.3±6.1	20.3±3.6	0.047
V _T (ml)	381.3±109.8	434.4±113.5	0.103
P _{ET} O ₂ (mmHg)	99.9±6.2	61.3±3.7	<0.001
P _{ET} CO ₂ (mmHg)	23.6±2.6	36.0±2.7	<0.001
VO ₂ (ml)	233.7±42.8	349.5±65.6	<0.001
VCO ₂ (ml)	178.0±36.1	275.1±65.0	<0.001
RER	0.77±0.06	0.76±0.07	0.709

363 Values are mean±SD. MAP, mean arterial pressure; HR, heart rate; SpO₂, oxygen saturation;
 364 V_E, ventilation; V_A, alveolar ventilation BF, breathing frequency; V_T, tidal volume; PetCO₂,
 365 end-tidal partial pressure of carbon dioxide; VO₂, oxygen uptake; VCO₂, carbon dioxide
 366 production; RER, respiratory exchange ratio. *P* - values represent student's t-test results.

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370 Table 2. Cardiovascular, respiratory and cerebrovascular parameters of size-matched Sherpa
 371 children and children residing at sea-level.

	Sherpa children	Sea-level children	<i>P</i> - value
	n = 8	n = 8	
Age (y)	10.2±1.2	9.9±0.7	0.444
Weight (kg)	32.3±10.8	31.1±6.5	0.793
Height (cm)	137.7±8.6	138.2±67.6	0.904
Sitting height (cm)	70.7±4.3	71.3±3.8	0.753
BMI (kg m ⁻²)	16.7±3.9	16.1±2.0	0.701
MAP (mmHg)	83±6	81±9	0.535
HR (bpm)	85±11	82±11	0.482
SpO ₂ (%)	93.8±1.0	99.6±0.5	<0.001
V _E (l min ⁻¹)	8.18±1.87	7.98±1.58	0.814
V _A (l min ⁻¹)	6.02±1.48	6.52±1.70	0.542
BF (min ⁻¹)	21.2±5.0	19.1±3.2	0.339
PetCO ₂ (mmHg)	25.1±2.1	35.2±3.2	<0.001
VO ₂ (ml)	241.9±43.9	312.2±56.6	0.016
VCO ₂ (ml)	187.1±41.7	242.5±45.9	0.024
RER	0.78±0.06	0.77±0.07	0.838
CCA velocity (cm s ⁻¹)	51.5±5.3	50.0±6.2	0.594
CCA diameter (cm)	0.58±0.03	0.61±0.05	0.139
CCA flow (ml min ⁻¹)	417.3±47.1	447.5±80.8	0.297
ICA velocity (cm s ⁻¹)	49.2±9.2	60.4±8.0	0.021
ICA diameter (cm)	0.46±0.03	0.49±0.05	0.257
ICA flow (ml min ⁻¹)	251.3±51.7	338.5±37.5	0.002
VA velocity (cm s ⁻¹)	23.5±1.4	33.4±4.7	<0.001
VA diameter (cm)	0.35±0.03	0.37±0.03	0.174
VA flow (ml min ⁻¹)	67.3±10.0	107.0±6.2	<0.001
MCA velocity (cm s ⁻¹)	65.3±11.6	93.9±10.7	<0.001
gCBF (ml min ⁻¹)	637.2±93.1	895.7±70.5	0.003

372 Values are mean±SD. BMI, body mass index; MAP, mean arterial pressure; HR, heart rate;
 373 SpO₂, oxygen saturation; V_E, ventilation; V_A, alveolar ventilation; BF, breathing frequency; V_T,
 374 tidal volume; PetCO₂, end-tidal partial pressure of carbon dioxide; VO₂, oxygen uptake; VCO₂,
 375 carbon dioxide production; RER, respiratory exchange ratio; CCA, common carotid artery;
 376 ICA, internal carotid artery; VA, vertebral artery; MCA, middle cerebral artery; gCBF, global
 377 cerebral blood flow. *P* - values represent student's t-test results.

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534 **Figure captions**

535 ***Figure 1***

536 Mean velocity, diameter and mean blood flow of the common carotid, internal carotid and
537 vertebral artery presented as mean and individual data in Sherpa children (black bars and open
538 circles) and children from sea-level (open bars and black circles). *P* - values represent student's t-
539 test results. * $P < 0.05$ compared to children from sea-level.

540

541 ***Figure 2***

542 Global cerebral blood flow in Sherpa children (black bars and open circles) and children from
543 sea-level (open bars and black circles). Grey bars represent percentage of vertebral artery flow. *
544 $P < 0.05$ compared to children from sea-level.

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